



## MEETING OF THE

# EXECUTIVE/ADMINISTRATION COMMITTEE

### Main Office

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Los Angeles, California  
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### Officers

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Carl Morehouse, San Buenaventura

First Vice President  
Cheryl Viegas-Walker, El Centro

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Margaret Finlay, Duarte

Energy & Environment  
Deborah Robertson, Rialto

Transportation  
Alan Wapner, San Bernardino  
Associated Governments

**Thursday, April 2, 2015**  
**9:00 a.m. – 10:00 a.m.**

**SCAG Main Office**  
**818 W. 7th Street, 12th Floor**  
**Board Room**  
**Los Angeles, CA 90017**  
**(213) 236-1800**

If members of the public wish to review the attachments or have any questions on any of the agenda items, please contact Lillian Harris-Neal at (213) 236-1858 or via email at [harris-neal@scag.ca.gov](mailto:harris-neal@scag.ca.gov).

Agendas & Minutes for the Executive/Administration Committee are also available at: <http://www.scag.ca.gov/committees/Pages/default.aspx>

SCAG, in accordance with the Americans with Disabilities Act (ADA), will accommodate persons who require a modification of accommodation in order to participate in this meeting. SCAG is also committed to helping people with limited proficiency in the English language access the agency's essential public information and services. You can request such assistance by calling (213) 236-1858. We require at least 72 hours (three days) notice to provide reasonable accommodations. We prefer more notice if possible. We will make every effort to arrange for assistance as soon as possible.

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## **Executive/Administration Committee**

### *Members – April 2015*

#### Members

#### Representing

<b>Chair</b>	<b>1. Hon. Carl Morehouse</b>	<i>San Buenaventura</i>	<b>District 47</b>
<b>1<sup>st</sup> Vice-Chair</b>	<b>2. Hon. Cheryl Viegas-Walker</b>	<i>El Centro</i>	<b>District 1</b>
<b>2<sup>nd</sup> Vice-Chair</b>	<b>3. Hon. Michele Martinez</b>	<i>Santa Ana</i>	<b>District 16</b>
<b>Immed. Past Pres.</b>	<b>4. Hon. Greg Pettis</b>	<i>Cathedral City</i>	<b>District 2</b>
	5. Hon. Margaret E. Finlay	<i>Duarte</i>	District 35
	6. Hon. Bill Jahn	<i>Big Bear Lake</i>	District 11
	7. Hon. Deborah Robertson	<i>Rialto</i>	District 8
	8. Hon. Alan Wapner	<i>Ontario</i>	SANBAG
	9. Hon. Barbara Messina	<i>Alhambra</i>	District 34
	10. Hon. Pam O'Connor	<i>Santa Monica</i>	District 41
	11. Hon. Larry McCallon	<i>Highland</i>	District 7
	12. Hon. Andrew Masiel, Sr.	<i>Pechanga Band of Luiseño Indians</i>	Tribal Government Representative
	13. Mr. Randall Lewis	<i>Lewis Group of Companies</i>	Ex-Officio
	14. Hon. Glen Becerra	<i>Simi Valley</i>	District 46
	15. Hon. Tom LaBonge	<i>Los Angeles</i>	District 51
	16. Hon. Carmen Ramirez	<i>Oxnard</i>	District 45
	17. Hon. Julio Rodriguez	<i>Perris</i>	District 69

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# EXECUTIVE/ADMINISTRATION COMMITTEE

## AGENDA

### APRIL 2, 2015

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*The Executive/Administration Committee (EAC) may consider and act upon any of the items listed on the agenda regardless of whether they are listed as information or action items.*

#### **CALL TO ORDER & PLEDGE OF ALLEGIANCE**

*(Hon. Carl Morehouse, Chair)*

**PUBLIC COMMENT PERIOD** – Members of the public desiring to speak on items on the agenda, or items not on the agenda, but within the purview of the Committee, must fill out and present a Public Comment Card to the Assistant prior to speaking. Comments will be limited to three (3) minutes per speaker provided that the Chair has the discretion to reduce this time limit based upon the number of speakers. The Chair may limit the total time for all public comments to twenty (20) minutes.

#### **REVIEW AND PRIORITIZE AGENDA ITEMS**

#### **EXECUTIVE DIRECTOR'S REPORT**

*(Hasan Ikhmeta, Executive Director)*

- SCAG's 50th Anniversary Gala Celebration
- Update on Cap-and-Trade Funding

#### **PRESIDENT'S REPORT**

#### **ACTION/DISCUSSION ITEMS**

**Page No.**

1. Proposed Memorandum of Agreement (MOA) between Southern California Association of Governments (SCAG), Metropolitan Transportation Commission (MTC), Sacramento Council of Governments (SACOG), and San Diego Association of Governments (SANDAG) **Attachment 1**  
*(Basil Panas, Chief Financial Officer)*

**Recommended Action:** Approve the MOA between SCAG, MTC, SACOG, and SANDAG to conduct a cooperative travel survey, and authorize the SCAG Executive Director or his designee to execute the MOA.

2. Resolution No. 15-568-1 Accepting Grant Award for the California Energy Commission (CEC) Alternative and Renewable Fuel and Vehicle Technology Program **Attachment 15**  
*(Basil Panas, Chief Financial Officer)*

**Recommended Action:** Recommend that the Regional Council approve Resolution No. 15-568-1, authorizing SCAG to accept California Energy Commission (CEC) grant funds to support Multi-Unit Dwelling (MUD) Plug-in Electric Vehicle (PEV) Readiness Strategies.

3. 2015 Active Transportation Program Regional Guidelines **Attachment 19**  
*(Huasha Liu, Director, Land Use and Environmental Planning)*

**Recommended Action:** Recommend the Regional Council approve the 2015 Active Transportation Program Regional Guidelines.

# EXECUTIVE/ADMINISTRATION COMMITTEE

## AGENDA

### APRIL 2, 2015

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<u>ACTION/DISCUSSION ITEMS - <i>continued</i></u>	<u>Page No.</u>
<p>4. <u>SCAG Participation at the International Symposium on Sustainable Development hosted by the Myongji University (MJU) in Seoul, Korea</u> <i>(Hasan Ikhata, Executive Director)</i></p> <p><b>Recommended Action:</b> Approve the participation of SCAG delegates, Immediate Past President, Hon. Gregory S. Pettis, and Regional Councilmember, Hon. Keith F. Millhouse, to represent SCAG and participate at the International Symposium on Sustainable Development scheduled for May 12-13, 2015, in Seoul, Korea; and authorize the expenditure of approximately \$2,460 for both, from the General Fund to cover related expenses incurred during the symposium.</p>	<p><b>Attachment</b>      <b>27</b></p>
<p>5. <u>March 5, 2015 Minutes of the Executive/Administration Committee</u></p>	<p><b>Attachment</b>      <b>29</b></p>

### CONSENT CALENDAR

#### Approval Items

<p>6. <u>AB 227 (Alejo) – Transportation Funding</u></p>	<p><b>Attachment</b>      <b>36</b></p>
<p>7. <u>AB 914 (Brown) - Toll Facilities: San Bernardino County</u></p>	<p><b>Attachment</b>      <b>38</b></p>
<p>8. <u>SB 767 (Deleon) – Los Angeles County Metropolitan Transportation Authority: Transactions and Use Tax</u></p>	<p><b>Attachment</b>      <b>40</b></p>
<p>9. <u>Contract Amendment Greater Than \$75,000: Contract Nos. 12-043-C1 through 12-043-C11, On – Call Economic Advisory and Outreach Services</u></p>	<p><b>Attachment</b>      <b>42</b></p>
<p>10. <u>Contract Amendment That Increases the Contract Value to \$200,000 or Greater: Contract No. 13-019-C1, Videography Services</u></p>	<p><b>Attachment</b>      <b>102</b></p>
<p>11. <u>Contract Amendment That Increases the Contract Value to \$200,000 or Greater: Contract No. 14-018-C1, Audit Services</u></p>	<p><b>Attachment</b>      <b>114</b></p>
<p>12. <u>Contracts \$200,000 or Greater: Contract No. 15-001-B74, General Plan Update and Bicycle Master Plan</u></p>	<p><b>Attachment</b>      <b>120</b></p>
<p>13. <u>Contracts \$200,000 or Greater: Contract No. 15-023-C1, Active Transportation Safety and Encouragement Campaign</u></p>	<p><b>Attachment</b>      <b>130</b></p>
<p>14. <u>SCAG Memberships &amp; Sponsorship</u></p>	<p><b>Attachment</b>      <b>144</b></p>

#### Receive and File

<p>15. <u>SCAG Sustainability Planning Grants Program – Monthly Update</u></p>	<p><b>Attachment</b>      <b>148</b></p>
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# EXECUTIVE/ADMINISTRATION COMMITTEE

## AGENDA

### APRIL 2, 2015

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#### CONSENT CALENDAR - continued

Page No.

- |   |                   |            |
|---|-------------------|------------|
| 16. <u>Purchase Orders \$5,000 but less than \$200,000; Contracts \$25,000 but less than \$200,000; and Amendments \$5,000 but less than \$75,000</u> | <b>Attachment</b> | <b>156</b> |
|---|-------------------|------------|

#### CFO MONTHLY FINANCIAL REPORT (*Basil Panas, Chief Financial Officer*)

**Attachment 164**

#### FUTURE AGENDA ITEM/S

#### ANNOUNCEMENT/S

#### CLOSED SESSION

- Conference with Labor Negotiators – Government Code Section 54957.6  
Agency Designated Representatives: President, First Vice President, General Counsel  
Unrepresented Employee: Executive Director

#### ADJOURNMENT

*The next regular EAC meeting is scheduled for Thursday, June 4, 2015, at the SCAG Los Angeles Office. The SCAG Regional Conference and General Assembly will be held May 7-8, 2015, at the JW Marriott Desert Springs Resort & Spa, 78455 Country Club Drive, Palm Desert, CA 92260.*

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**DATE:** April 2, 2015

**TO:** Executive/Administration Committee (EAC)  
Regional Council(RC)

**FROM:** Basil Panas, Chief Financial Officer, (213) 236-1817, Panas@scag.ca.gov

**SUBJECT:** Proposed Memorandum of Agreement (MOA) between Southern California Association of Governments (SCAG), Metropolitan Transportation Commission (MTC), Sacramento Council of Governments (SACOG), and San Diego Association of Governments (SANDAG)

**EXECUTIVE DIRECTOR'S APPROVAL:** 

**RECOMMENDED ACTION:**

Approve the MOA between SCAG, MTC, SACOG, and SANDAG to conduct a cooperative travel survey, and authorize the SCAG Executive Director or his designee to execute the MOA.

**EXECUTIVE SUMMARY:**

*MPOs perform travel surveys to both monitor general travel characteristics and extract detailed travel behavior information to inform travel models and other planning activities. The MOA outlines a process for California's largest MPOs to cooperatively design and conduct a joint travel survey. SCAG staff seeks approval from the EAC and RC for the MOA and authority for the Executive Director or his designee to execute the MOA.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan, Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies; Objective a: Develop and maintain planning models that support regional planning and Objective b: Develop, maintain and enhance data and information to support planning and decision making in a timely and effective manner.

**BACKGROUND:**

Rather than developing and conducting separate travel surveys, MPOs seek to collectively procure consultant services to develop a single survey methodology which will be applied statewide. Participating agencies will jointly fund the following tasks: Phase 1 - development of data collection forms and survey procedures; and Phase 2 - on-going maintenance of survey infrastructure, methodology testing, and survey refinement. Using the materials and procedures developed in the first two (2) phases, each agency will then contract separately with the collectively procured firm or firms to perform surveys in their respective regions at an agreed upon set price.

Working together to develop a coordinated travel survey provides SCAG the following benefits: 1) participating agency will benefit from the combined expertise of the group; 2) each agency will benefit from the collective resources of the group, including sharing costs related to survey development, outreach and web site development; 3) standard procedures will make across region comparisons much easier and better inform estimates of inter-regional travel; and 4) the collective procurement will reduce administrative costs and attract higher-quality firms.

# REPORT

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**FISCAL IMPACT:**

Funding for this project will be contained in work element 16-070.SCG00130.10. The funding for consultant and administrative fees will be provided as follows: \$65,000 in FY 2015-16; \$65,000 in FY 2016-17; \$40,000 in FY 2017-18; \$40,000 in FY 2018-19; \$40,000 in FY 2019-20; and \$40,000 for FY 2020-21. The total funding for the project over the six (6) year period is \$290,000. Note – these costs are specifically related to survey design and maintenance.

**ATTACHMENT:**

Proposed MOA between SCAG, MTC, SACOG, and SANDAG

# Draft Memorandum of Agreement

## Cooperative Household Travel Survey

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This Memorandum of Agreement (“MOA”) is made and entered into this 2nd day of April 2015, by and between the Southern California Association of Governments (SCAG), the Metropolitan Transportation Commission (MTC), the Sacramento Council of Governments (SACOG), and the San Diego Association of Governments (SANDAG), hereinafter collectively referred to as the “MPO Partners”.

### Recitals

WHEREAS, the MPO Partners are responsible for collecting data on household travel behavior for their respective metropolitan areas; and

WHEREAS, the MPO Partners desire to use common procedures to collect data on household travel behavior; and

WHEREAS, the MPO Partners can benefit from the collective expertise and resources of the MPO Partners by participating in a cooperative effort to procure a consultant to provide services for the Cooperative Household Travel Survey Project (“Project”); and

WHEREAS, by entering into this MOA, the MPO Partners intend to describe their respective responsibilities and establish a joint cooperative structure for their participation in a joint procurement for the Project; and

WHEREAS, the MPO Partners believe it is cost effective to conduct a joint procurement to obtain a consultant (“Joint Procurement”) to carry out the Project in the following phases: developing data collection instruments and survey procedures (“Cooperative Development Phase” or “Phase 1”); on-going maintenance of survey infrastructure, methodology testing and refinements (“Cooperative Maintenance Phase” or “Phase 2”); and carrying out the household travel behavior survey work and implementing procedures and instruments created during Phase 1 (“Survey Implementation Phase” or “Phase 3”); and

WHEREAS, the MPO Partners believe it is preferable to have a framework that supports annual surveying of household travel behavior; and

WHEREAS, the procedures and instruments for conducting the surveys for Phases 1 and 2 of the Project will basically be the same for each MPO Partner, but Phase 3 services will vary for each MPO Partner due to their different geographic locations and unique needs; and

WHEREAS, the MPO Partners intend for MTC to enter into a contract with the selected consultant(s) (“Consultant”) for Phases 1 and 2 of the Project which will be administered by MTC and to allow for each MPO Partner to enter into separate contracts with the Consultant for Phase 3 of the Project in each MPO Partner’s jurisdiction.

NOW, THEREFORE, the MPO Partners agree as follows:

## **1. Coordination and Direction of the Project**

- a. The MPO Partners shall have the authority to direct all work performed under this MOA, including, but not limited to, determining the services necessary to perform the Project, the process for the Joint Procurement, the budget for the Project, the sufficiency of deliverables related to the Project, and the term of contracts entered into for purposes related to the Project.
- b. The Joint Procurement shall involve issuance of a Request for Proposals (“RFP”); formation of a proposal review committee to evaluate proposals, hold interviews and select a firm(s); and awarding of a contract to one or more firms for Phases 1, 2 and 3 of the Project. Each MPO Partner will have the opportunity to appoint one (1) representative to the proposal review committee.
- c. The scope of work for Phase 1 of the Project will include among other tasks, the following:
  - i. develop and update survey procedures and instruments (the “Survey Tools”); and
  - ii. determine the annually-updated per-completed-survey cost in each partner MPO’s jurisdiction; and
  - iii. complete any other work related to the Project necessary to ensure that the Survey Tools will enable gathering of the required data in each of the MPO Partners’ respective jurisdictions.
- d. The scope of work for Phase 2 of the Project will include ongoing maintenance of survey infrastructure, methodology testing and refinements.
- e. For Phase 3 of the Project, the Consultant will be reimbursed for each completed survey at agreed-upon rates or pricing (“Fee Schedule”) specific to each of the MPO Partners’ respective jurisdictions, subject to entry of each MPO Partner into separate contracts with the Consultant. Each contract between an MPO Partner and the Consultant may utilize the Fee Schedule and applicable terms and conditions included as part of the contract between MTC and the Consultant.
- f. The MPO Partners shall attempt to reach a consensus in good faith on the Consultant selection decision and all other decisions related to implementation of the MOA. In the event a consensus is not reached on any such decision, each MPO Partner reserves the right to terminate the MOA in accordance with Article 5, paragraph g.
- g. The MPO Partners shall jointly and equally own all the work products completed by the Consultant during Phases 1 and 2, including without limitation any copyright and any other intellectual property or proprietary right in the Project. Each MPO shall have the right to use any part or the whole thereof without restriction and without any duty to account to the others.

## **2. Duties of the MPO Partners**

- a. Subject to the availability of funding and approval of their respective governing bodies, SACOG SANDAG and SCAG shall each provide proportionate funding amounts to MTC for Phases 1 and

2 of the Project, in accordance with the cost share and invoicing provisions set forth in Article 4 of this MOA, within thirty days of receiving an invoice or request for payment from MTC.

- b. The MPO Partners will assist in the development and review of an RFP to be used for the Joint Procurement to select the Consultant to complete the Project.
- c. The MPO Partners will actively participate in the Project by reviewing materials and attending all meetings related to the Project, via conference call, after reasonable prior notice.

### **3. Administrative Duties of MTC**

- a. MTC shall issue the Joint Procurement encompassing the aggregate scope of work and budget of the MPO Partners for Phases 1, 2 and 3 of the Project, with a standardized set of terms and conditions, and an agreed upon Fee Schedule for each of the phases for the use of the MPO Partners.
- b. The RFP used for the Joint Procurement will specify at a minimum:
  - i. that the procurement will follow a competitive process consistent with the requirements imposed on the MPO Partners under applicable federal and state procurement requirements including but not limited to Title 49, C.F.R. Part 18 and consistent with the Caltrans Local Assistance Procedures Manual Chapter 10 or successors thereto; and
  - ii. that MTC will be the contracting party for Phases 1 and 2 of the Project; and
  - iii. that each of the MPO Partners will be able to independently issue contracts to the selected Consultant for Phase 3; and
  - iv. the Consultant will update and as necessary, develop additional Survey Tools during Phases 1 and 2, and will collect data in each of the jurisdictions using the Survey Tools during Phase 3; and
  - v. that for Phase 3, the selected Consultant must agree to standardized terms and conditions approved by the MPO Partners and a negotiated Fee Schedule that can be used by each of the MPO Partners as part of their individual contracts with the Consultant.
- c. MTC will provide a draft RFP to the MPO Partners for review and approval prior to issuance, which includes the potential deliverables set forth in “Attachment 1”, attached hereto and incorporated herein by this reference.
- d. To foster greater economy and efficiency, MTC shall authorize other governmental entities to procure goods and services under any contract resulting from this RFP. The selected consultant shall provide the same prices, service, terms and conditions granted MTC to any such governmental entity.
- e. MTC agrees to establish a Disadvantaged Business Enterprise (DBE) goal for the contract it uses for Phases 1 and 2 of the Project and to incorporate any DBE requirements the MPO Partners

request in the standard terms and conditions to be used for Phase 3 of the Project, in accordance with 49 Code of Federal Regulations (CFR) Part 26.

- f. MTC agrees to monitor the progress of the Consultant's work during Phases 1 and 2 of the Project and provide information on such progress quarterly to the other MPO Partners.
- g. MTC agrees to call, schedule, and chair monthly meetings with the MPO Partners and Consultant, as well as any other meetings deemed necessary by the MPO Partners, and provide meeting notices and working agendas at least three (3) business days before any such meeting.
- h. MTC agrees to continue facilitating Phase 2 services including the development, innovation, continual refinement, and testing of emerging technologies provided by the Consultant in conjunction with the Consultant's Phase 3 services.
- i. MTC agrees to receive invoices from the Consultant for Phases 1 and 2 of the Project, invoice MPO Partners annually as listed in Article 4 below for such work, and process appropriate payments in a timely manner.
- j. MTC agrees to maintain billing accounts and financial records during and for three (3) years after the completion of this MOA and to produce same to the other MPO Partners upon request.
- k. MTC agrees to comply with all applicable laws, statutes, ordinances, rules, regulations or requirements of the federal, state or local government, and of any agency thereof, which relate to or in any manner affect the performance of this MOA. Those requirements imposed upon any MPO as "Recipient" are hereby imposed upon MPO Partners for purposes of the Joint Procurement. MTC will coordinate the development of standard terms and conditions and the negotiations of a Fee Schedule that each MPO Partner may utilize for their separate contracts with the Consultant in Phase 3 of the project. Additionally, MTC will provide a complete draft of such terms and conditions and Fee Schedule to the other MPO Partners for review and approval prior to publication and/or execution.
- l. MTC will provide complete draft consultant task orders for Phases 1 and 2 of the Project to the MPO Partners for review and approval prior to execution.
- m. MTC will require the Consultant to submit draft deliverables for MPO Partners' review and comment and MTC will require the Consultant to respond in writing to each MPO Partner comment prior to paying the invoice for final deliverables on work performed during Phases 1 and 2 of the Project.

#### **4. Cost Share by the MPO Partners**

- a. Subject to annual budget decisions and the approval of their respective governing bodies, each of the MPO Partners agrees to a budget for payments to MTC as administrator, and to the Consultant for Phases 1 and 2 work as shown in the Cost Share Table below, and such amounts may be amended pursuant to Article 5c.

COST SHARE TABLE:

Description of amount owed	SACOG	SCAG	SANDAG	MTC	Totals
<b>Cooperative Development Phase 1 Work</b>	2015 \$61,750	2015 \$61,750	2015 \$61,750	2015 \$65,000	\$500,500
	2016 \$61,750	2016 \$61,750	2016 \$61,750	2016 \$65,000	
<b>Cooperative Maintenance Phase 2 Work</b>	2017 \$38,000	2017 \$38,000	2017 \$38,000	2017 \$40,000	\$616,000
	2018 \$38,000	2018 \$38,000	2018 \$38,000	2018 \$40,000	
	2019 \$38,000	2019 \$38,000	2019 \$38,000	2019 \$40,000	
	2020 \$38,000	2020 \$38,000	2020 \$38,000	2020 \$40,000	
<b>Administrative Fee of 5% for MTC</b>	2015 \$3,250	2015 \$3,250	2015 \$3,250	N/A	\$43,500
	2016 \$3,250	2016 \$3,250	2016 \$3,250		
	2017 \$2,000	2017 \$2,000	2017 \$2,000		
	2018 \$2,000	2018 \$2,000	2018 \$2,000		
	2019 \$2,000	2019 \$2,000	2019 \$2,000		
	2020 \$2,000	2020 \$2,000	2020 \$2,000		
<b>Totals</b>	\$290,000	\$290,000	\$290,000	\$290,000	\$1,160,000

- b. Subject to annual budget decisions and the approval of their respective governing bodies, each of the MPO Partners intend to contract directly with the Consultant selected by the MPO Partners, using the Joint Procurement as the justification for entering into the contracts for Phase 3 of the Project in each MPO Partner’s geographic jurisdiction.
- c. As shown in the table above, MTC shall receive compensation for its duties with respect to administration of the Joint Procurement as described in Article 3 of this MOA, and as the contracting party for Phase 1 and 2 of the Project in an amount equal to five percent (5%) of the funding amount.
- d. MTC shall invoice each MPO Partner for the above-referenced amounts once annually on July 1<sup>st</sup>, beginning July 1, 2015 and ending June 30, 2021 for work expected to be performed by the Consultant in the upcoming fiscal year. Any unspent funds for the Project shall be fully refunded in proportionate amounts by MTC to SACOG, SANDAG, and SCAG.
- e. The other MPO Partners will review and advise MTC on contracted deliverables for approval of payments to the Consultant throughout Phases 1 and 2 of the Project, as applicable.
- f. The MPO Partners agree that MTC shall not issue a task order to the Consultant for work on Phases 1 and 2 of the Project prior to receiving payment sufficient from all MPO Partners to pay the task order in full.

**5. General Provisions**

- a. All obligations of the MPO Partners under this MOA are subject to the appropriation of resources and funding by their respective governing bodies.

- b. Nothing in this MOA shall be construed as empowering any MPO Partner to exercise any function properly residing with any other MPO Partner. For purposes of this MOA, the relationship of the MPO Partners is that of independent entities and not as agents of each other or as joint venturers or partners. The MPO Partners shall maintain sole and exclusive control over their personnel, agents, consultants, and operations.
- c. No alteration or variation of the terms of this MOA shall be valid unless made in writing and signed by all of the MPO Partners, and no oral understanding or agreement not incorporated herein shall be binding on any of the MPO Partners.
- d. Nothing in the provisions of this MOA is intended to create duties or obligations to or rights in third parties to this MOA or affect the legal liability of the parties to this MOA.
- e. No MPO Partner, nor any Commissioner, board member, officer, or employee thereof, is responsible for any injury, damage or liability occurring by reason of anything done or omitted to be done by, under or in connection with any work, authority or jurisdiction conferred upon one of the other MPO Partners under this Agreement. It is understood and agreed that each MPO Partner shall fully defend, indemnify and save harmless the other MPO Partners and all of their Commissioners, board members, officers, and employees from all claims, suits or actions of every name, kind and description brought forth under, including, but not limited to, tortious, contractual, inverse condemnation or other theories or assertions of liability occurring by reason of anything done or omitted to be done by one of the other MPO Partners under this MOA.
- f. This MOA shall become effective as of the date first written above and shall continue in full force and effect until June 30, 2021. Each of the MPO Partners acknowledges that MTC's performance hereunder is expressly conditioned upon the continued cooperation of all MPO Partners.
- g. This MOA may be terminated by any MPO Partner at any time by providing a written notice of at least thirty (30) days to all other MPO Partners. In the event of termination, payments made to MTC that have been encumbered via task order and for which MTC has incurred costs shall not be refunded. Funds that have not been encumbered or for which MTC has not been invoiced or notified by the Consultant of incurred costs under an open task order, shall be refunded in full.
- h. This MOA may be executed in any number of identical counterparts, each of which shall be deemed to be an original, and all of which together shall be deemed to be one and the same instrument when each party has signed one such counterpart.
- i. All notices or other communications to the MPO Partners shall be deemed given when made in writing and delivered, mailed, or emailed to such MPO Partner at their respective addresses as follows:

To MTC:            Attention: Shimon Israel  
                         Metropolitan Transportation Commission  
                         101 Eighth Street  
                         Oakland, CA 94607-4700  
                         Email: [SIsrael@mtc.ca.gov](mailto:SIsrael@mtc.ca.gov)

To SCAG: Attention: Mike Ainsworth  
Southern California Association of Governments  
818 West 7<sup>th</sup> Street, Suite 1200  
Los Angeles, CA 90017  
Email: [Ainswort@scag.ca.gov](mailto:Ainswort@scag.ca.gov)

To SANDAG: Attention: Darlanne Hctor Mulmat  
San Diego Association of Governments  
401 B Street, Suite 800  
San Diego, CA 92101  
Email: [Darlanne.Mulmat@sandag.org](mailto:Darlanne.Mulmat@sandag.org)

To SACOG: Attention: Bruce Griesenbeck  
Sacramento Area Council of Governments  
1415 L Street, Suite 300  
Sacramento, CA 95814  
Email: [BGriesenbeck@sacog.org](mailto:BGriesenbeck@sacog.org)

IN WITNESS WHEREOF, the parties have hereto executed this MOA as of the date first above written:

Counterpart 1 of 4 to COOPERATIVE HOUSEHOLD TRAVEL SURVEY MEMORANDUM OF AGREEMENT

METROPOLITAN TRANSPORTATION COMMISSION

By: \_\_\_\_\_

Name: Steve Heminger

Title: Executive Director

Counterpart 2 of 4 to COOPERATIVE HOUSEHOLD TRAVEL SURVEY MEMORANDUM OF  
MEMORANDUM OF AGREEMENT

SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS

By: \_\_\_\_\_

Name: Hasan Ikhata

Title: Executive Director

Counterpart 3 of 4 to COOPERATIVE HOUSEHOLD TRAVEL SURVEY MEMORANDUM OF AGREEMENT

SAN DIEGO ASSOCIATION OF GOVERNEMENTS

By: \_\_\_\_\_

Name: Gary L. Gallegos

Title: Executive Director

Counterpart 4 of 4 to COOPERATIVE HOUSEHOLD TRAVEL SURVEY MEMORANDUM OF AGREEMENT

SACRAMENTO AREA COUNCIL OF GOVERNMENTS

By: \_\_\_\_\_

Name: Mike McKeever

Title: Executive Director

# ATTACHMENT 1 POTENTIAL DELIVERABLES FOR HOUSEHOLD TRAVEL SURVEY DESIGN AND MAINTENANCE RFP

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The potential deliverables anticipated from the services procured through the Joint Procurement are as follows.

- Standard Household Travel Survey instrument(s).
- Standard procedures for conducting Household Travel Surveys.
- Outreach and public relations materials.
- Utilization of new technologies, such as passively collected data through cell phones and Smartphone survey instruments to augment and/or replace traditional surveys.
- Updated survey instruments and procedures based on technologies emerging during the period of this agreement.

**REPORT**

DATE: April 2, 2015

TO: Executive Administration Committee (EAC)  
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer, (213) 236-1817, [panas@scag.ca.gov](mailto:panas@scag.ca.gov)

SUBJECT: Resolution No. 15-568-1 Accepting Grant Award for the California Energy Commission (CEC) Alternative and Renewable Fuel and Vehicle Technology Program

**EXECUTIVE DIRECTOR'S APPROVAL:** 

**RECOMMENDED ACTION:**

Recommend that the Regional Council approve Resolution No. 15-568-1 authorizing SCAG to accept California Energy Commission (CEC) grant funds to support Multi-Unit Dwelling (MUD) Plug-in Electric Vehicle (PEV) Readiness Strategies.

**EXECUTIVE SUMMARY:**

*SCAG has recently received notice of a grant award of approximately \$48,000 from the California Energy Commission (CEC) as part of its Alternative and Renewable Fuel and Vehicle Technology Program ("Grant Funds"), to deploy Multi-Unit Dwelling (MUD) Plug-in Electric Vehicle (PEV) Readiness Strategies. The Grant Funds will be used for the Multi-Unit Dwelling (MUD) Plug-in Electric Vehicle (PEV) Readiness Strategies. This project will implement electric vehicle charging stations (EVCS) infrastructure in multi-family housing within the SCAG region. SCAG staff seeks approval of the attached resolution authorizing acceptance of the Grant Funds*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan Goal 1 Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Goal2: Obtain Regional Transportation Infrastructure Funding and Promote Legislative Solutions for Regional Planning Priorities and Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies.

**BACKGROUND:**

On February 3, 2015 the CEC released a Program Opportunity Notification (PON) from the Alternative and Renewable Fuel and Vehicle Technology Program (ARFVTP) to fund additional Zero Emission Vehicle Readiness Plans. The grant opportunity will make a total of \$1,297,816 available on a first come first served basis. Projects will support new and existing planning efforts for plug-in electric vehicles (PEVs) and fuel cell electric vehicles (FCEVs). SCAG thereafter submitted a grant proposal as part of the ARFVTP.

On March 17, 2015, SCAG was notified that it was selected to receive a grant award from the CEC as part of the ARFVTP in the amount of approximately \$48,000 to support deployment of the Multi-Unit Dwelling (MUD) Plug-in Electric Vehicle (PEV) Readiness Strategies. Subject to the approval from the CEC, SCAG is hoping to increase the grant award up to the requested amount of \$125,000 ("Grant Funds"). The primary goal of the project is to implement electric vehicle charging station (EVCS) infrastructure in multi-family housing, which the CEC refers to as Multi-Unit Dwellings (MUDs). This will enable SCAG staff to continue to implement the 2012 regional PEV Readiness Plan. The work effort will address remaining barriers to EVCS installation in multi-family housing. In addition the grant application will facilitate an update of the regional PEV atlas. SCAG staff seeks approval of the attached resolution authorizing acceptance of the Grant Funds.

**FISCAL IMPACT:**

SCAG will receive approximately \$48,000 from the CEC, with possible additional funding up to \$125,000 subject to CEC approval, which will be utilized for the Multi-Unit Dwelling (MUD) Plug-in Electric Vehicle (PEV) Readiness Strategies.

**ATTACHMENT:**

Resolution No. 15-568-1



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**RESOLUTION NO. 15-568-1**

**A RESOLUTION OF THE SOUTHERN CALIFORNIA ASSOCIATION  
OF GOVERNMENTS APPROVING THE ACCEPTANCE OF  
CALIFORNIA ENERGY COMMISSION (CEC) GRANT FUNDS TO  
SUPPORT MULTI-UNIT DWELLING PLUG-IN ELECTRIC  
VEHICLE READINESS STRATEGIES**

**WHEREAS**, the Southern California Association of Governments (“SCAG”) is the designated Metropolitan Planning Organization (MPO), pursuant to 23 U.S.C. Section 134 et seq. and 49 U.S.C. Section 5303 et seq., serving the nation’s largest metropolitan planning area comprised of Los Angeles, Orange, San Bernardino, Riverside, Ventura and Imperial Counties;

**WHEREAS**, SCAG has received notice of a grant award of approximately \$48,000 from the California and Energy Commission (“CEC”) as part of its Alternative and Renewable Fuel and Vehicle Technology Program (ARFVTP) Funds to support SCAG’s Multi-Unit Dwelling (MUD) Plug-in Electric Vehicle (PEV) Readiness Strategies;

**WHEREAS**, SCAG will seek additional ARFVTP Funds from the CEC, up to SCAG’s originally requested amount of \$125,000 (“Grant Funds”);

**WHEREAS**, the primary goal of the ARFVTP is to develop and deploy alternative and renewable fuels and advanced transportation technologies to help attain the State’s climate change policies; and

**WHEREAS**, the Grant Funds will be used to develop MUD PEV Readiness Strategies in the SCAG region, which will involve consulting services to develop: planning for electric vehicle charging station (EVCS) infrastructure in multi-family housing, to continue to implement the 2012 regional PEV Readiness Plan, and an update of the regional PEV atlas.

**NOW, THEREFORE, BE IT RESOLVED** by the Regional Council of Southern California Association of Governments to authorize SCAG to accept and administer the Grant Funds to support MUD PEV Readiness Strategies.

**BE IT FURTHER RESOLVED** by the SCAG Regional Council as follows:

1. That the Regional Council hereby authorizes SCAG to accept the Grant Funds in the amount of approximately \$48,000 and any possible additional amount to be approved by the CEC up to \$125,000 to support the MUD PEV Readiness Strategies.

2. That SCAG's Executive Director or his designee is hereby designated and authorized by the Regional Council to execute all necessary agreements and other documents on behalf of the Regional Council as they relate to receipt of the Grant Funds supporting the MUD PEV Readiness Strategies.

**PASSED, APPROVED AND ADOPTED** by the Regional Council of the Southern California Association of Governments at a regular meeting this 2<sup>nd</sup> day of April, 2015.

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Carl Morehouse  
President, SCAG  
Councilmember, San Buenaventura

Attested by:

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Hasan Ikhata  
Executive Director

Approved as to Form:

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Joann Africa  
Chief Counsel

**DATE:** April 2, 2015

**TO:** Regional Council (RC)  
Executive Administration Committee (EAC)  
Community, Economic and Human Committee (CEHD)  
Energy and Environment Committee (EEC)  
Transportation Committee (TC)

**FROM:** Huasha Liu, Director, Land-Use Planning & Environment, liu@scag.ca.gov, 213-236-1838

**SUBJECT:** 2015 Active Transportation Program (ATP) Regional Guidelines

**EXECUTIVE DIRECTOR'S APPROVAL:** 

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**RECOMMENDED ACTION EAC, TC:**

Recommend the Regional Council approve the 2015 Active Transportation Program Regional Guidelines.

**RECOMMENDED ACTION RC:**

Approve the 2015 Active Transportation Program Regional Guidelines and authorize the Executive Director to submit the guidelines to the California Transportation Commission for final approval.

**RECOMMENDED ACTION CEHD, EEC:**

Receive and File

**EXECUTIVE SUMMARY:**

*On March 26, 2015, the California Transportation Commission (CTC) adopted the Active Transportation Program (ATP) Statewide Guidelines and announced the 2015 Call for Projects. The 2015 ATP budget is anticipated to be approximately \$300 million and will cover fiscal years 2016/17-2018/19. Approximately 60% of the total funding awards will be recommended by the CTC through the Statewide Program and Small Urban/Rural Program components. Forty percent of the total funding awards will be recommended by regional MPOs; SCAG's share of the MPO component is approximately \$70 million. Similar to the 2014 ATP, SCAG is required to collaborate with the County Transportation Commissions to adopt regional guidelines that outline the criteria and process for selecting projects that are recommended for funding as part of the MPO component. After approval of the Regional Council, the attached 2015 Active Transportation Program Regional Guidelines will be submitted to the California Transportation Commission for adoption. The 2015 ATP Statewide Guidelines retain many of the same requirements as the 2014 Statewide Guidelines.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan Goal 2: Obtain Regional Transportation Infrastructure Funding and Promote Legislative Solutions for Regional Planning Priorities; Objective 1: Identify new infrastructure funding opportunities with State, Federal and private partners

## **BACKGROUND:**

The California Active Transportation Program (ATP) was created by Senate Bill 99 (Chapter 359, Statutes 2013) and Assembly Bill 101 (Chapter 354, Statutes 2013), to encourage increased use of active modes of transportation, such as biking and walking, as well as to ensure compliance with the federal transportation authorization Moving Ahead for Progress in the 21st Century (MAP-21). The goals of the Active Transportation Program are to:

- Increase the proportion of trips accomplished by biking and walking.
- Increase the safety and mobility of non-motorized users.
- Advance the active transportation efforts of regional agencies to achieve greenhouse gas reduction goals as established pursuant to Senate Bill 375 (Chapter 728, Statutes of 2008) and Senate Bill 391 (Chapter 585, Statutes of 2009).
- Enhance public health, including reduction of childhood obesity through the use of programs including, but not limited to, projects eligible for Safe Routes to School Program funding.
- Ensure that disadvantaged communities fully share in the benefits of the program.
- Provide a broad spectrum of projects to benefit many types of active transportation users.

Funds awarded through the ATP program are selected by the state (60% of total funds) as well as regional MPOs (40% of total funds).

## Regional Guidelines

The ATP Regional Guidelines (Guidelines) outline the process by which SCAG in collaboration with the county transportation commissions intends to meet its requirements for implementing the project selection process for the 2015 ATP Regional Program. The Guidelines must be consistent with direction established in the Statewide Guidelines and be approved by the Regional Council and the CTC. The 2015 ATP Statewide Guidelines retain many of the same requirements as the 2014 Statewide Guidelines. Consequently, the 2015 Regional Guidelines remain largely unchanged. Key elements of the guidelines are outlined below:

- Projects selected for the regional program must be submitted as part of a Consolidated (Statewide + Regional) Call for Projects conducted by the CTC between March 26 and May 31, 2015.
- Preliminary scoring will be completed through the Consolidated Call for Projects managed by CTC.
- Projects not selected for the statewide program will be considered for funding in the regional program.
- Each county will have the ability to modify preliminary scores by adding up to 10 points (on a 110 point scale) to projects that are consistent with local and regional plans within each county.
- Geographic equity will be achieved by establishing a preliminary recommended funding list that dedicates no less than 95% of the total regional funds to Implementation Projects proportionate to the population of each county. Implementation Projects may include capital projects as well as non-infrastructure projects, such as Safe Routes to School programs and other educational and enforcement activities.
- Up to 5% of the funding in the regional program will be reserved for the development of active transportation plans. The intent of this reserve to ensure a broad spectrum of projects is funded per the goals of SB 99, while also allowing but not exceeding the requirement that no more than 5% of the regional program be spent on planning.

# REPORT

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- SCAG retains the authority to modify the preliminary recommended project list in order to ensure 25% of the total regional program is dedicated to projects benefitting disadvantaged communities, as required by state law.
- The final recommended project list will be reviewed by the CEOs of the county transportation commissions, Caltrans and CTC staff to make any final adjustments and achieve consensus prior to submitting the Regional Program of Projects to SCAG's Regional Council for approval prior to submission to the CTC.

Following approval by the Regional Council and thereafter by the State CTC of the Regional Guidelines, SCAG staff will continue its collaboration with the county transportation commissions to implement the regional project selection process. SCAG staff will provide updates to the Transportation Committee on the regional program, and return to the Regional Council with a recommended program of projects for the 2015 ATP regional program as early as November 2015.

## **FISCAL IMPACT:**

Work associated with this item is included in the current FY2014/15 Overall Work Program (050.SCG00169.01: Regional Active Transportation Strategy) and FY2015/1016 Overall Work Program (050.SCG00169.06: Active Transportation Program).

## **ATTACHMENT:**

2015 ATP Regional Guidelines

## **2015 Active Transportation Program Southern California Association of Governments Regional Guidelines**

The intent of this document is to successfully implement the active transportation related programs and funding components of the Moving Ahead for Progress in the 21<sup>st</sup> Century Act (MAP-21) and California Senate Bill 99 (SB 99). The following Regional Guidelines outline the roles, responsibilities and processes for selecting projects to receive funding from the SCAG region's dedicated share of the 2015 California Active Transportation Program (ATP). The SCAG region's annual share is approximately \$25 million, which includes 100% of SCAG's federal Transportation Alternative Program apportionments (approximately \$14 million) plus approximately \$11 million/year from other federal and state funding programs that were consolidated by SB 99 into the ATP. These Guidelines relate to the 2015 California Active Transportation Program only, which includes three years of funding in Fiscal Year 2016/17, FY 2017/18 and FY 2018/19. The Guidelines may be revisited and modified for future rounds of funding.

### **Background**

- The goals of the ATP program are to:
  - Increase the proportion of trips accomplished by biking and walking.
  - Increase the safety and mobility of non-motorized users.
  - Advance the active transportation efforts of regional agencies to achieve greenhouse gas reductions goals as established pursuant to SB 375.
  - Enhance public health, including reduction of childhood obesity through the use of programs including, but not limited to, projects eligible for Safe Routes to School Program funding.
  - Ensure that disadvantaged communities fully share in the benefits of the program.
  - Provide a broad spectrum of projects to benefit many types of active transportation users.
- The 2015 Active Transportation Program Statewide Guidelines describe the policy, standards, criteria and procedures for the development, adoption and management of ATP.
- Per the requirements of SB 99 and Map-21, 40% of the funds for the ATP program must be distributed by Metropolitan Planning Organizations (MPO) in urban areas with populations greater than 200,000, with funds distributed to each MPO based on total MPO population.
- The funds distributed by the MPOs must be programmed and allocated to projects selected through a competitive process in accordance with the ATP Guidelines.
- Per SB 99 and the Statewide Guidelines, the following requirements apply specifically to SCAG:
  - SCAG must consult with the county transportation commission, the California Transportation Commission (CTC), and the State Department of Transportation (Caltrans) in the development of the competitive project selection criteria. The

criteria should include consideration of geographic equity, consistent with program objectives;

- SCAG must place priority on projects that are consistent with plans adopted by local and regional governments within the county where the project is located; and
- SCAG must obtain concurrence from the county transportation commissions.
- A MPO choosing to use the same project selection criteria and weighting, minimum project size, match requirement, and definition of disadvantaged communities as used by the CTC for the statewide competition may defer its project selection to the CTC.
- 25% of the regional funds must benefit disadvantaged communities.
- A large MPO may make up to 5% of its funding available for active transportation plans in disadvantaged communities.
- Non-infrastructure projects are eligible for funding; however, there is not a specific set-aside or cap for this purpose. Non-infrastructure funding is available for start-up or pilot projects that support education, encouragement, and enforcement activities—not ongoing efforts.

### **Regional Project Selection**

**In order to expedite the administrative approval process and accelerate project implementation,** SCAG intends to build upon the CTC scoring and ranking process and forgo its option to issue a supplemental regional call for projects. This means that an evaluation committee will not be required at the county or regional level within the SCAG region to separately score projects.

- Once projects have been scored and ranked by CTC for the regional program, SCAG and the county transportation commissions will review and, if necessary, recommend modifications to the regional program to ensure specific statutory requirements can be met in a manner that is consistent with the intent of the law and program guidelines.
- Regional Funding Categories
  - Two funding categories will be established for the regional program to support the review and refinement of the regional program by SCAG and the County Transportation Commissions. These categories will include:
    - **Planning Projects** may include the development of active transportation plans consistent with eligibility requirements established by the CTC. Active Transportation planning projects will be funded up to the allotted maximum 5% of the regional program budget. If active transportation plans do not satisfy the 5% maximum allotment of the Regional Program and in consideration of geographic equity, Implementation Projects shall be considered.
    - **Implementation Projects** may include the planning, design, and construction of facilities and/or non-infrastructure projects (e.g., education or traffic enforcement activities).

- No less than 95% of the total regional funds will be dedicated to funding Implementation Projects.
- Up to 5% of the total regional funds will be dedicated to funding Planning Projects, consistent with the intent of the ATP to fund a broad spectrum of projects *and* to ensure that disadvantaged communities have resources to develop ATP plans, which will be an eligibility requirement for future funding cycles. If the total request in the Planning Projects Category is less than 5% of the total regional funds, or if applications in this category fail to meet minimum requirements, then the remaining funds will be allocated to Implementation Projects.
- County Transportation Commission’s Role in Project Selection
  - Prior to scoring by CTC, SCAG will provide each county with a list of **Implementation Project** applications submitted within each county.
  - The county transportation commissions will review the Implementation Project lists and determine which projects “are consistent with plans adopted by local and regional governments within the county” per the requirements of SB 99. If a project is consistent, the county will assign up to 10 points to each project. “Plan” shall be defined by each county transportation commission.
  - If a county transportation commission assigns additional points (up to 10, as noted above) to a project for which they are the lead applicant, an explanation must be provided to SCAG on how the scoring process resulted in an unbiased evaluation of projects.
  - The Board of each respective county transportation commission will approve the scoring methodology/guidelines and point assignments, and submit the scores to SCAG for inclusion in the final ranking of regional projects.
  - The Board or the Chief Executive Officer of each respective county transportation commission will adopt the final recommended project list as further described in the Recommended Regional Program of Projects section below.
- SCAG’s Role in Project Selection
  - Implementation Projects Category
    - Following the release of the preliminary scores by CTC, SCAG will develop for each county a ranked Implementation Project list reflecting the base score awarded by Caltrans plus any additional point assignments (up to 10 pts as noted above) made by the respective county transportation commission.
    - The ranked list will include a preliminary funding mark, established by the county’s population-based share of no less than 95% of the total regional

funds. The projects from each county above the preliminary funding mark will constitute the preliminary regional project list.

- SCAG will analyze the preliminary regional project list and calculate the total amount of funding to be awarded to disadvantaged communities for **Implementation Projects** across all of the counties.
  - If the total is more than 25%, SCAG will consider the preliminary regional project list as final and include it in the regional program.
  - If the total is less than 25%, SCAG will modify the preliminary regional project list to ensure the 25% mark is achieved, as follows:
    - Across all counties, the highest scored disadvantaged communities' project that is below the funding mark will be added to the regional project list. This project will displace the lowest scoring project that is above the funding mark and does not benefit a disadvantaged community, regardless of the county.
    - This process will be repeated until the 25% target is met.
    - This process may lead to an outcome where a county receives less than its population-based share of the funding, but is necessary to ensure the disadvantaged communities' requirements for the regional program are met.
    - As noted in Recommended Regional Program of Projects section below, the CEOs, Caltrans and CTC will have the opportunity to make any final adjustments to the preliminary regional project list to address any inequities that may result from this process.
- Planning Projects Category
  - SCAG will create a ranked list of **Planning Projects** reflecting Caltrans' selection process and scores, and delineating those projects that are above and below the funding mark.
  - SCAG will quantify the percentage of funding dedicated to disadvantaged communities within the Planning Category and determine the amount of funding that needs to be dedicated to disadvantaged communities to ensure requirements are met.

- SCAG will largely defer to the ranking of CTC in the selection of the planning projects, however, slight adjustments may be made to the ranking to ensure planning projects are supported in all counties.
- Recommended Regional Program of Projects
  - SCAG will combine the projects selected from the Planning and Implementation Projects Categories to create a preliminary Regional Program of Projects (Program).
  - The final recommended Regional Program of Projects will be reviewed by the CEOs of the county commissions, Caltrans and CTC staff to make any final adjustments and achieve consensus prior to submitting the Program to SCAG's Regional Council and the Boards or Chief Executive Officers of the county transportation commissions for approval and submission to the CTC.
  - Technical Adjustments: The SCAG CEO, the CEO of each County Transportation Commission, and their designees may make technical changes to the program as needed to ensure the timely delivery of the regionally-selected projects.

**DATE:** April 2, 2015

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Hasan Ikhata, Executive Director, ikhata@scag.ca.gov, (213) 236-1944

**SUBJECT:** SCAG Participation at the International Symposium on Sustainable Development hosted by the Myongji University (MJU) in Seoul, Korea

**EXECUTIVE DIRECTOR'S APPROVAL:**

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**RECOMMENDED ACTION:**

Approve the participation of SCAG delegates, Immediate Past President, Hon. Gregory S. Pettis, and Regional Councilmember, Hon. Keith F. Millhouse, to represent SCAG and participate at the International Symposium on Sustainable Development scheduled for May 12-13, 2015, in Seoul, Korea; and authorize the expenditure of approximately \$2,460 for both, from the General Fund to cover related expenses incurred during the symposium.

**EXECUTIVE SUMMARY:**

*Myongji University (MJU), one of SCAG's partner institutions in Korea, is hosting the International Symposium on Sustainable Development, scheduled for May 12-13, 2015. MJU has invited two (2) SCAG delegates to attend and provide special lectures at the symposium. The purpose of the international symposium is to discuss the planning and policy experiences of the United States and Korea in addressing demographic changes and their impacts on metropolitan and local jurisdictions in the areas of economy, finance, housing, transportation, environment, and climate change, etc.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan, especially our Vision Statement of serving as "an international and regional planning forum trusted for its leadership and inclusiveness in developing plans and policies for a sustainable Southern California."

**BACKGROUND:**

As past practice, prior to RC members travelling outside of the United States on SCAG business, Regional Council approval is obtained. Immediate Past President, Hon. Gregory S. Pettis, and Regional Councilmember, Hon. Keith F. Millhouse, will be representing SCAG to participate at the International Symposium on Sustainable Development scheduled for May 12-13, 2015, in Seoul, Korea. The symposium is hosted by the Myongji University (MJU) and will sponsor the SCAG delegates' round-trip airfare; two (2) nights of hotel accommodation; meals and related local travels. However, an additional two (2) nights of hotel accommodation is needed to allow the delegates to visit the urban sustainable development project sites. Therefore, an expenditure of approximately \$2,460 for both will be allocated from the FY 14-15 General Fund Budget to cover these related expenses (each delegate's lodging \$600; \$150 for local travel and meals; and \$480 stipend).

# REPORT

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MJU is one of SCAG's partner institutions in Korea and the symposium is seen as an opportunity to discuss metropolitan policy and planning implications of demographic changes, policy practices for sustainable growth and identify best practices.

**FISCAL IMPACT:**

The proposed expenditure of approximately \$2,460 for both RC Members will be allocated from SCAG's SCAG's FY 14-15 General Fund Budget.

**ATTACHMENT:**

None.

SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS  
EXECUTIVE/ADMINISTRATION COMMITTEE (EAC)

March 5, 2015

**MINUTES**

**THE FOLLOWING MINUTES ARE A SUMMARY OF ACTIONS TAKEN BY THE EXECUTIVE/ADMINISTRATION COMMITTEE. A RECORDING OF THE ACTUAL MEETING IS AVAILABLE FOR LISTENING IN SCAG'S OFFICE.**

The Executive/Administration Committee (EAC) held its March 5, 2015 meeting at the SCAG Offices, in Los Angeles, California. The meeting was called to order by Hon. Carl Morehouse, President, San Buenaventura, District 47, at 9:01 a.m. There was a quorum.

**Members Present**

Hon. Carl Morehouse, President	District 47
Hon. Cheryl Viegas-Walker, El Centro, 1 <sup>st</sup> Vice-President	District 1
Hon. Michele Martinez, Santa Ana, 2 <sup>nd</sup> Vice-President	District 16
Hon. Greg Pettis, Cathedral City, Imm. Past President	District 2
Hon. Glen Becerra, Simi Valley,	District 46
Hon. Margaret Finlay, Duarte	District 35
Hon. Bill Jahn, Big Bear Lake	District 11
Hon. Tom LaBonge, Los Angeles	District 51
Mr. Randall Lewis, Ex-Officio	Lewis Group of Companies
Hon. Larry McCallon, Highland	District 7
Hon. Carmen Ramirez, Oxnard	District 45
Hon. Deborah Robertson, Rialto	District 8
Hon. Julio Rodriguez, Perris	District 69
Hon. Alan Wapner, Ontario	SANBAG

**Members Not Present**

Hon. Andrew Masiel, Sr.	Pechanga Band of Luiseno Indians
Hon. Barbara Messina, Alhambra	District 34
Hon. Pam O'Connor, Santa Monica	District 41

## **CALL TO ORDER & PLEDGE OF ALLEGIANCE**

Hon. Carl Morehouse, President, called the meeting to order at 9:03 a.m., and asked Hon. Bill Jahn to lead all in the Pledge of Allegiance.

## **PUBLIC COMMENT PERIOD**

There were no public comments.

## **REVIEW AND PRIORITIZE AGENDA ITEMS**

There was no reprioritization of the agenda.

## **EXECUTIVE DIRECTOR'S REPORT**

Hasan Ikhata, Executive Director, provided an update on the final guidelines and funding opportunity of the Affordable Housing and Sustainable Communities (AHSC) grant program, which was adopted by the Strategic Growth Council (SGC) on January 20, 2015. He stated that SCAG will have a role in reviewing the concept applications received from the SCAG region, including a range of transit-oriented-development and integrated connectivity projects; and that SCAG will have an opportunity to recommend to the SGC what projects should be funded. Mr. Ikhata noted that the suggested criteria for staff to use in evaluating these projects will be on next month's agenda.

Mr. Ikhata announced that the next meeting of the Coalition of America's Gateway and Trade Corridors will be held in Washington D.C. on April 23-24, 2015. He asked EAC members that are interested in attending to notify SCAG staff.

## **PRESIDENT'S REPORT**

Hon. Carl Morehouse, Chair, provided highlights of SCAG's Joint Capitol Hill Congressional Briefing on February 11, 2015 in Washington D.C. with the San Diego Associations of Governments (SANDAG). The briefing provided the leadership from the two (2) organizations as well as the leadership of the County Transportation Commissions to directly engage with the delegation's members on key transportation issues related to the surface transportation reauthorization.

Hon. Morehouse provided an update on the annual Legislative reception event held in Sacramento on February, 25-26, 2015. He reported that SCAG's delegation met with state lawmakers to discuss regional needs in the areas of infrastructure and transportation funding, which included discussion of the state legislative priorities adopted by the Regional Council.

Hon. Morehouse and EAC members thanked staff and partner agencies for their efforts in organizing two (2) successful events.

## **ACTION/DISCUSSION ITEMS**

### 1. February 5, 2015 Minutes of the Executive/Administration Committee

A MOTION was made (Viegas-Walker) and SECONDED (Becerra) to approve the February 5, 2015 EAC Minutes. The motion was passed by the following vote:

AYES: Morehouse, Becerra, Finlay, Jahn, LaBonge, Martinez, McCallon,  
Pettis, Rodriguez, Viegas-Walker and Wapner

NOES: None

ABSTAIN: None

### 2. Approval of the FY 2015-16 Draft Comprehensive Budget

Basil Panas, CFO, provided a brief overview of the FY 2015-16 Draft Comprehensive Budget and requested the EAC to Recommend to the Regional Council (RC) to approve the FY 2015-16 Comprehensive Budget and all of its components; and authorize the release of the Draft Overall Work Program (OWP) to initiate the 30-day public comment period, and transmit the General Fund Budget and Membership Assessment to the General Assembly.

Staff responded on the comments and questions expressed by the Councilmembers including comments regarding sponsorships and memberships dues for FY 2015-16.

A MOTION was made (Finlay) and SECONDED (LaBonge) to approve staff's recommendation. The motion was passed by the following vote:

AYES: Morehouse, Becerra, Finlay, Jahn, LaBonge, Martinez, McCallon,  
Pettis, Robertson, Rodriguez, Viegas-Walker and Wapner

NOES: None

ABSTAIN: None

### 3. Authorize Acceptance of the California Office of Traffic Safety Grant Funds, if awarded to SCAG, to develop a Regional Bicycle Safety Education Curriculum

Basil Panas, CFO, provided an overview of the Regional Bicycle Safety Education Curriculum and requested the EAC to recommend to the Regional Council approval of Resolution No. 15-567-1 authorizing SCAG to accept the California Office of Traffic Safety grant funds, if awarded to SCAG, to support the development of a Regional Bicycle Safety Education Curriculum.

Comments were made from EAC members in support of this effort. Additional recommendations were made requesting that information about the project, if awarded, be disseminated to local communities, school districts and public safety agencies; and ensure that the document is translated in other languages, in order to educate all multicultural groups in regards to safety education.

A MOTION was made (LaBonge) and SECONDED (Martinez) to approve staff's recommendation. The motion was passed by the following vote:

AYES: Morehouse, Becerra, Finlay, Jahn, LaBonge, Martinez, McCallon, Pettis, Ramirez, Robertson, Rodriguez, Viegas-Walker and Wapner  
NOES: None  
ABSTAIN: None

4. Designation of Representatives to Assist with Negotiation of Executive Director's Employment Agreement

There were no additional discussions or comments made on this item.

A MOTION was made (McCallon) and SECONDED (LaBonge) to approve staff's recommendation. The motion was passed by the following vote:

AYES: Morehouse, Becerra, Finlay, Jahn, LaBonge, Martinez, McCallon, Pettis, Ramirez, Robertson, Rodriguez, Viegas-Walker and Wapner  
NOES: None  
ABSTAIN: None

**CONSENT CALENDAR**

**Approval Items**

5. Contracts \$200,000 or Greater: Contract No. 16-002-C1, Information Technology Technical Project Resources
6. AB 194 (Frazier) – High Occupancy Toll Lanes
7. SCAG Membership

**Receive & File**

8. SCAG Sustainability Planning Grants – Monthly Update
9. Purchase Orders \$5,000 but less than \$200,000; Contracts \$25,000 but less than \$200,000; and Amendments \$5,000 but less than \$75,000
10. Regional Guidelines for 2015 Active Transportation (Funding) Program (ATP)
11. Cap-and-Trade Greenhouse Gas Reduction Fund: Affordable Housing and Sustainable Communities Program Update – Concept Applications Process & Recommendation
12. March 2015 State and Federal Legislative Update

A MOTION was made (Jahn) and SECONDED (Finlay) to approve the Consent Calendar. The motion was passed by the following vote:

AYES: Morehouse, Becerra, Finlay, Jahn, LaBonge, Martinez, McCallon,  
Pettis, Ramirez, Robertson, Rodriguez, Viegas-Walker and Wapner  
NOES: None  
ABSTAIN: None

### **CFO MONTHLY FINANCIAL REPORT**

Basil Panas, CFO, provided highlights of the CFO Monthly Financial Report.

### **RECONSIDERATION OF CONTRACT AMENDMENT \$75,000 OR GREATER: CONTRACT NO. 13-008-C2, SCAG REGION VALUE PRICING PROJECT**

Staff explained that at the February 5, 2015 meeting, the EAC recommended approval of Contract No. 13-008-C2 to the Regional Council, but it was determined afterward that Councilman LaBonge had a conflict and should have abstained from the vote. A MOTION was made (McCallon) and SECONDED (Viegas-Walker) to reconsider approval of Contract No. 13-008-C2. The motion was passed by the following vote:

AYES: Morehouse, Becerra, Finlay, Jahn, Martinez, McCallon,  
Pettis, Ramirez, Robertson, Rodriguez, Viegas-Walker and Wapner  
NOES: None  
ABSTAIN: LaBonge

A subsequent MOTION was made (Viegas-Walker) and SECONDED (Jahn) to recommend approval of the Contract No. 13-008-C2. The motion was passed by the following vote:

AYES: Morehouse, Becerra, Finlay, Jahn, Martinez, McCallon,  
Pettis, Ramirez, Robertson, Rodriguez, and Viegas-Walker  
NOES: None  
ABSTAIN: LaBonge and Wapner

### **FUTURE AGENDA ITEMS**

There were no future agenda items requested.

### **ANNOUNCEMENTS**

Hon. Tom LaBonge announced that the Special Olympics is set to open in Los Angeles on July 25, 2015. He encouraged participation.

### **CLOSED SESSION ITEMS**

- **Public Employee Performance Evaluation – Government Code Section 54957(b)**  
Title: Executive Director
- **Conference with Labor Negotiators – Government Code Section 54957.6**  
Agency Designated Representative(s): To be Appointed by EAC  
Unrepresented Employee: Executive Director

Mr. Joe Silvey, General Counsel, announced that the EAC would proceed into Closed Session pursuant to Government Code Section 54956.9 (c) and 54957.6. At the conclusion of the Closed Session, Mr. Silvey reported that the EAC received a summary of the annual evaluations submitted by Regional Council members regarding the Executive Director, and after reviewing the evaluations met with the Executive Director and discussed the evaluations with him. There was no further action to report.

**ADJOURNMENT**

*The EAC adjourned its meeting at 9:45 and adjourned its Closed Session meeting at 10:08 a.m. The next regular meeting of the EAC is scheduled for Thursday, April 2, 2015, at the SCAG Los Angeles Office.*

Approved by:



Lillian Harris-Neal, CMC  
Clerk of the Board

**EXECUTIVE/ADMINISTRATION COMMITTEE**  
**ATTENDANCE REPORT**

Member (including Ex- Officio)	LastName, FirstName Representing	X = County Represented						FEB	MAR	APRIL	MAY	JUNE	JULY
		IC	LA	OC	RC	SB	VC						
Morehouse, Carl, President	San Buenaventura						X	X	X				
Walker-Viegas, Cheryl, 1st VP	El Centro	X						X	X				
Martinez, Michele, 2nd VP	Santa Ana			X				X	X				
Pettis, Gregory, Imm. Past President	Cathedral City				X			X	X				
Becerra, Glen	Simi Valley						X	X	X				
Finlay, Margaret	Duarte		X					X	X				
Jahn, Bill	Big Bear Lake					X		X	X				
LaBonge, Tom	Los Angeles		X					X	X				
Lewis, Randall, Ex Officio	Lewis Op. Corp.							X	X				
Masiel, Andrew, Sr.	Pechanga Band of Luiseno Indians				X			X					
McCallon, Larry	Highland					X		X	X				
Messina, Barbara	Los Angeles		X					X					
O'Connor, Pam	Santa Monica		X										
Ramirez, Carmen	Ventura						X	X	X				
Robertson, Deborah	San Bernardino County						X		X	X			
Rodriguez, Julio	Riverside				X			X	X				
Wapner, Alan	Ontario					X		X	X				
<b>Totals</b>		<b>1</b>	<b>4</b>	<b>1</b>	<b>3</b>	<b>4</b>	<b>3</b>						

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**DATE:** April 2, 2015

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Darin Chidsey; Director, Strategy, Policy & Public Affairs; (213) 236-1836; [chidsey@scag.ca.gov](mailto:chidsey@scag.ca.gov)

**SUBJECT:** AB 227 (Alejo) – Transportation Funding

**EXECUTIVE DIRECTOR'S APPROVAL:** 

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**RECOMMENDED ACTION:**  
Support.

**EXECUTIVE SUMMARY:**

*AB 227 would repurpose truck weight fees and move them from the General Fund back to the State Highway Account, equaling a nearly \$1 billion investment annually. The bill would also expedite the repayment of several loans made to the General Fund from various transportation accounts during the economic downturn. Finally, AB 227 would remove the existing sunset on local jurisdictions' ability to enter into public private partnerships. The Legislative/Communications and Membership Committee (LCMC) recommends support consistent with board adopted 2015 state legislative priorities to increase funding opportunities for transportation and to promote innovative project finance structures for transportation such as public private partnerships.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan: Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; and Goal 2: Obtain Regional Transportation infrastructure Funding and Promote Legislative Solutions for Regional Planning Priorities.

**BACKGROUND:**

In recent years during the state's economic downturn, funds that were once collected for the purpose of maintaining the transportation system were diverted to the General Fund to pay off debt and maintain other services. For example existing law provides for the transfer of certain weight fee revenues from the State Highway Account to the Transportation Debt Service Fund to reimburse the General Fund for payment of debt service on general obligation bonds issued for transportation purposes; and also provides for the transfer of certain weight fee revenues to the transportation Bond Direct Payment Account for direct payment of debt service on other transportation bonds issued pursuant to Proposition 1B of 2006. Existing law also provides for loans of weight fee revenues to the General Fund to the extent the revenues are not needed for bond debt service purposes, with the loans to be repaid when the revenues are later needed for those purposes.

AB 227 would repeal these provisions, thereby retaining the all weight fee revenues in the State Highway Account to support the state's highway system.

# REPORT

Existing law also provides that fuel excise tax revenues imposed by the state on motor vehicle fuels be deposited into the Highway Users Tax Account (HUTA), and appropriates those revenues to various purposes. With respect to the portion of these revenues derived from increases in the motor vehicle fuel excise tax in 2010 as part of the fuel tax ‘swap’, existing law requires these funds be allocated to the State Highway Account to reimburse the amount of weight fee revenues diverted from the State Highway Account as part of the swap to pay debt service on transportation general obligation bonds and to make certain loans to the General Fund, with the remaining amount of these increased excise tax revenues to be allocated 44% to the State Transportation Improvement Program (STIP), 12% to the State Highway Operation and Protection Program (SHOPP), and 44% to city and county streets and roads.

This bill would delete the provisions relating to the reimbursement of the State Highway Account for weight fee revenues, and instead provide for the *entire* portion of fuel excise tax revenues derived from increases in the motor vehicle fuel excise tax to be allocated 44% to the STIP, 12% to the SHOPP, and 44% to local streets and roads.

Finally, AB 227 would delete the January 1, 2017 sunset date allowing public-private partnership agreements for certain transportation projects charging tolls and user fees.

## **Summary and Recommendation**

The purpose of these provisions are to return weight fees to its original intended purpose to support the state highway system; to return the increased state excise tax on gasoline to its intended purposes prior to the gas tax swap of 2011, and to end the provisions that were passed during severe state budgetary shortfalls borrowing from transportation funding sources to pay bond debt instead of maintaining and investing in the transportation system. The author notes that with the state’s improved economic outlook and recovery, evidenced by the nonpartisan Legislative Analyst’s Office expecting the state’s budget to be \$2.5 billion higher previously estimated, that now is the time return these funds to direct transportation investments and to reinvest in the state’s roadways and infrastructure.

The bill’s provisions are consistent with SCAG policy and adopted 2015 state legislative priorities to increase funding for transportation, by removing transportation revenues otherwise encumbered to service debt and pay off old bonds and instead direct these funds to support the state and local government’s transportation system. The bill also promotes continued authority to enter into public-private partnerships for toll projects to provide additional, sustainable funding for needed projects. For these reasons, the LCMC at its March 17, 2015 meeting forwarded a ‘support’ recommendation for adoption by the Regional Council at its April 2, 2015 meeting.

AB 227 is referred to the Assembly Transportation Committee and to the Assembly Budget Committee; hearing is not yet calendared on the bill. The deadline to move fiscal bills from policy committee of the first house of this, the first year of the 2015-16 legislative session, is May 1, 2015.

A copy of AB 227 can be downloaded at the following link: <http://goo.gl/IgOXEr>

## **ATTACHMENTS:**

None.

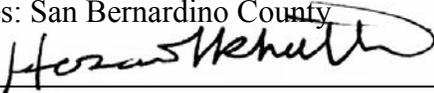
**REPORT**

**DATE:** April 2, 2015

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Darin Chidsey; Director, Strategy, Policy & Public Affairs; (213) 236-1836; [chidsey@scag.ca.gov](mailto:chidsey@scag.ca.gov)

**SUBJECT:** AB 914 (Brown) – Toll Facilities: San Bernardino County

**EXECUTIVE DIRECTOR'S APPROVAL:** 

**RECOMMENDED ACTION:**  
Support.

**EXECUTIVE SUMMARY:**

*This bill would authorize the San Bernardino County Transportation Commission (SANBAG) to construct and operate certain transportation facilities implementing express lanes on State Highway Routes 10 and 15 as toll facilities in the County of San Bernardino and, with the agreement of affected transportation agencies, in the Counties of Los Angeles and Riverside. The bill would require the toll revenues to be spent for specified transportation purposes and would authorize SANBAG to issue revenue bonds payable from toll revenues. This bill would provide, additionally, that vehicles with air quality decals not be exempt from tolls on toll facilities implemented in the County of San Bernardino or adjoining counties under this bill. The Legislative/Communications and Membership Committee (LCMC) recommends support consistent with board adopted 2015 state legislative priorities to increase funding opportunities for transportation and to promote innovative project finance structures for transportation such as public private partnerships.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan: Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; and Goal 2: Obtain Regional Transportation infrastructure Funding and Promote Legislative Solutions for Regional Planning Priorities.

**BACKGROUND:**

AB 914 is sponsored by the San Bernardino Associated Governments (SANBAG), a regional partner agency, to grant it authority to implement an express lane program in the county on the I-10 and the I-15 corridors. The bill is introduced in recognition of the facts that the Inland Empire is the fastest growing region in Southern California. San Bernardino County, in particular, has grown to over 2.1 million residents and is projected to reach 3.4 million by 2050. The I-10 and I-15 corridors are amongst the most congested in the state, seeing up to 260,000 and 223,000 vehicles per day respectively. In 2045, these volumes are expected to reach 668,500 vehicles per day combined, a 38% percent increase.

The I-10 and I-15 corridors are also increasingly urbanized, making continued expansion a challenge. These routes are also utilized as important recreational and goods movement corridors, seeing up to 47,500 trucks per day combined. Alternative ways of managing congestion must be utilized to serve the growing needs in this area.

There are two (2) primary ways to manage capacity in a given lane in California. High Occupancy Vehicle (HOV) lanes are managed by occupancy restrictions, requiring either two (2) or more riders, or three (3) or more riders in order to use the lane. Express, or toll lanes, utilize a mixture of both occupancy and pricing to manage the capacity of the lanes in order to maximize the throughput.

HOV Lanes are becoming increasingly congested and the California Department of Transportation is seeking ways to meet federal standards for HOV lane use. Many facilities across the state have had to increase their occupancy from 2+ to 3+ in order to meet this standard.

Express Lane facilities are increasingly being studied as regional transportation agencies throughout California struggle to manage increasing traffic demands within existing, limited budgets. This model uses value or congestion pricing to manage traffic demands in a corridor. As congestion increases, the price also increases thereby reducing demand for the facility. Facilities across the state also consider various incentives for carpools, motorcycles, and alternative fuel vehicles, depending on the unique needs and demands of the region.

In 2006, the Legislature delegated responsibility for approving toll lanes to the California Transportation Commission, but limited its authority to no more than four projects statewide. This authority has been fully expended and thus several local transportation agencies have sought legislative authorization to establish express or toll lanes in their respective jurisdictions in the years following the passage of that bill.

### **Summary and Recommendation**

AB 914 would authorize a new express lane program to be operated on the I-10 and I-15 corridors by SANBAG. Projected time savings for commuters using these facilities would range from 14 to 43 minutes on I-15 and 6.8 to 20.2 minutes on I-10, per trip. The bill provides for enhanced, locally controlled funding to construct a fiscally sustainable project that reduces congestion, maximizes throughput and helps to achieve air quality benefits attendant thereto. The Legislative/Communications and Membership Committee (LCMC) recommended support of a bill last year, AB 1721 (Linder) granting similar authority to the Riverside County Transportation Commission. Similarly, LCMC at its March 17, 2015 meeting forwarded a support recommendation for adoption by the Regional Council at its April 2, 2015 meeting.

A copy of AB 914 can be downloaded at the following link: <http://goo.gl/ju70v8>

### **ATTACHMENTS:**

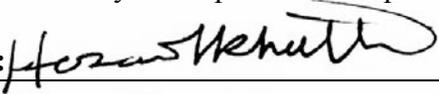
None.

**DATE:** April 2, 2015

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Darin Chidsey; Director, Strategy, Policy & Public Affairs; (213) 236-1836; [chidsey@scag.ca.gov](mailto:chidsey@scag.ca.gov)

**SUBJECT:** SB 767 (De Leon) – Los Angeles County Metropolitan Transportation Authority: Transactions and Use Tax

**EXECUTIVE DIRECTOR'S APPROVAL:** 

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**RECOMMENDED ACTION:**  
Support.

**EXECUTIVE SUMMARY:**

*SB 767 (De Leon) sponsored by the Los Angeles Metropolitan Transportation Authority (LA Metro) would allow LA Metro to seek county wide voter approval to impose an additional transportation transactions and use tax at a rate of 0.5% to the county sales tax for a period to be determined by LA Metro, subject to various requirements including the adoption of an expenditure plan. The bill is consistent with long-standing SCAG policy supporting local voter-approved measures that increase funding for local transportation purposes as well as 2015 state legislative priorities adopted by the Regional Council to support financing opportunities to further develop transportation projects and infrastructure investment. The Legislative/Communications and Membership Committee (LCMC) recommends support consistent with board adopted 2015 state legislative priorities to increase funding opportunities for transportation and to promote innovative project finance structures for transportation such as public private partnerships.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan: Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; and Goal 2: Obtain Regional Transportation infrastructure Funding and Promote Legislative Solutions for Regional Planning Priorities.

**BACKGROUND:**

Los Angeles is one of the nation's most congested transportation corridors in the nation. Recent studies indicate that an auto commuter in Los Angeles spends an average of 61 hours delayed in traffic per year, costing about \$1,300 per year in lost time and wasted fuel due to congestion. These numbers are expected to increase as the county's population continues to grow. At the state level, investments to preserve California's transportation system have not been sufficient to meet demand; traditional fuel tax revenues are not keeping pace with inflation, and the large increase in number of more fuel-efficient cars and alternative fuel vehicles are reducing this funding.

Consequently local transportation agencies have turned to the voters to provide the authority and funding for priority transportation projects, which have addressed some of the transportation need at a regional level. In Los Angeles, Measure R was approved by a majority of the voters in November of 2008. Due to California's county sales tax cap of a combined 2% and Los Angeles' existing sales taxes,

# REPORT

AB 2321 (Feuer, 2008) was introduced and chaptered to allow LA Metro to levy an additional sales tax and put Measure R on the ballot. That measure enacted a half-cent transaction and use tax increase; raising LA County's sales tax to a minimum 9% (several other cities have raised it to 9.5%). The Measure R sales tax increase, effective for 30 years, finances transportation projects and programs, and accelerates those that were already in the pipeline. The Los Angeles Economic Development Council estimated in 2008 that Measure R projects will create 166,000 jobs.

Although Measure R is improving transportation in Los Angeles, many local governments and transportation stakeholders in the region recognize there are still large unmet needs and that many worthy projects, particularly transit projects, will not be funded by Measure R. An additional half-cent sales tax will allow Los Angeles County to further expand its transit system, address further highway needs around the county, support local agency transportation programs, and improve the Metrolink service.

## **Bill Provisions**

SB 767 as introduced would authorize LA Metro to seek county-wide voter approval of a 0.5% increase to the countywide sales tax, for a period to be determined by LA Metro. Bill provisions require that the ordinance imposing the tax to contain an expenditure plan, to be approved by the LA Metro Board, listing the transportation projects and programs to be funded from net revenues from the tax. Finally, the bill would allow LA Metro to incur bond debt payable from the net revenues of the tax.

## **Summary and Recommendation**

If this ball passes, LA Metro will be able to go to the voters again and ask if they would support raising taxes for transportation purposes. To that end, LA Metro is working with subregional Councils of Governments (COGs) to develop their unfunded transportation projects to be incorporated into the countywide expenditure plan. The bill is supported by the Office of LA Mayor Eric Garcetti in addition to LA Metro; there is as yet no opposition to the bill. Senator De Leon's office indicates the bill likely will receive first hearing in the Senate Transportation Committee in early to mid-April. The deadline to move fiscal bills from policy committee of the first house this year, the first of the 2015-16 legislative session, is May 1.

The Legislative/Communications and Membership Committee (LCMC), at its March 17, 2015 meeting forward a support recommendation for adoption by the Regional Council of SB 767, to put the proposed tax increase before the voters as it has in the past with Measure R and other local voter approved revenue measures for transportation.

A copy of SB 767 can be downloaded at the following link: <http://goo.gl/fo2Ow5>

## **ATTACHMENTS:**

None.

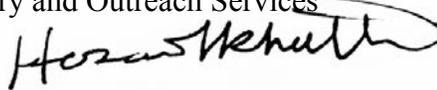
**DATE:** April 2, 2015

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas; Chief Financial Officer; (213) 236-1817; panas@scag.ca.gov

**SUBJECT:** Contract Amendments \$75,000 or Greater: Contract Nos. 12-043-C1 through 12-043-C11, On-Call Economic Advisory and Outreach Services

**EXECUTIVE DIRECTOR'S APPROVAL:**



**RECOMMENDED ACTION:**

Approve amendments to Contact Nos. 12-043-C1 through 12-043-C11, with multiple consultants, in an amount not-to-exceed \$300,000, to provide additional on-call economic advisory and outreach services including economic analysis for the 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS).

The multiple consultants are:

1. Economics & Politics, Inc.
2. Orange County Business Council
3. Los Angeles County Economic Development Corporation (LAEDC)
4. Green Tech Coast LLC
5. GrassrootsLab, LLC (Contract Completed/Closed)
6. Center for Continuing Study of the California Economy (CCSCE)
7. California Lutheran University – The Center for Economic Research and Forecasting
8. CDM Smith
9. Development Management Group, Inc.
10. Jack Faucett Associates (Contract Completed/Closed)
11. Kosmont & Associates, Inc.

**EXECUTIVE SUMMARY:**

*The purpose of these amendments is to extend the contract period to June 30, 2016 and to fund further work that will enable the team of consultants, each of which has knowledge and expertise from the six-county SCAG region, to support the ongoing development of the 2016-2040 RTP/SCS, which will build off the economic work related to implementation of the 2012-2035 RTP/SCS. As the centerpiece of the agency's transportation planning efforts, the data and analysis contained within the RTP/SCS is important to a multitude of planning and research efforts at SCAG. The total of these amendments exceed \$75,000. Therefore, in accordance with the SCAG Procurement Manual (dated 11/01/14) Section 8.3, it requires the Regional Council's approval.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan, Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective b: Develop external communications and media strategy to promote partnerships, build consensus and foster inclusiveness in the decision making process; and Objective c: Provide practical solutions for moving new ideas forward.

# REPORT

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**BACKGROUND:**

**Staff recommends executing the following contract amendment \$75,000 or greater:**

<b><u>Consultant/Contract #</u></b>	<b><u>Contract Purpose</u></b>	<b><u>Contract Amount</u></b>
Consultants Identified Above (12-043-C1 through 12-043-C11)	The consultant shall provide a variety of economic advisory services to support SCAG's development of the 2016-2040 RTP/SCS and implementation of the 2012-2035 RTP/SCS and SCAG's Economic Recovery and Job Creation Strategy development.	\$300,000

**FISCAL IMPACT:**

Funding of \$300,000 is available in the FY 2015-16 budget.

**ATTACHMENT:**

Consultant Contract Nos. 12-043-C1 through 12-043-C11

## CONSULTANT CONTRACTS 12-043-C1 through 12-043-C11 AMENDMENTS

### **Recommended Consultants:**

1. Economics & Politics, Inc.
2. Orange County Business Council
3. Los Angeles County Economic Development Corporation (LAEDC)
4. Green Tech Coast LLC
5. Grassroots Lab, LLC (Contract Completed/Closed)
6. Center for Continuing Study of the California Economy (CCSCE)
7. California Lutheran University – The Center for Economic Research and Forecasting
8. CDM Smith
9. Development Management Group, Inc.
10. Jack Faucett Associates (Contract Completed/Closed)
11. Kosmont & Associates, Inc.

### **Background & Scope of Work:**

On September 6, 2012, SCAG awarded Contract Nos. 12-043-C1 to 12-043-C11 to a team of consultants to provide on-call economic advisory and outreach services primarily in support of implementation of the 2012-2035 Regional Transportation Plan / Sustainable Communities Strategy (RTP/SCS).

The purpose of the amendments to these contracts is to extend the contract period to June 30, 2016 and to fund further economic analysis work that will enable the team of consultants, each of which has knowledge and expertise from the six-county SCAG region, to support the ongoing development of the 2016-2040 RTP/SCS, which will build off the economic work related to the implementation of the 2012-2035 RTP/SCS. As the centerpiece of the agency's transportation planning efforts, the economic data and analysis contained within the RTP/SCS is important to a multitude of planning and research efforts at SCAG. The economic analysis conducted for the RTP/SCS is similarly used in more areas than just the RTP/SCS and is not narrowly constrained to just the Plan itself but also serves to inform the development of the Federal Transportation Improvement Program (FTIP), annual regional economic outlooks and other economic work, and, but not limited to, the goods movement and active transportation programs.

### **Project's Benefits & Key Deliverables:**

The project's benefits and key deliverables include, but are not limited to:

- Providing economic analysis and written assessments of SCAG's planning activities;
- Analyzing, regionally significant economic indicators, as needed;
- Documenting the economic benefits and job impacts of the 2016-2040 RTP/SCS;
- Assessing the economic impacts of transportation investments and cost of delays in the transportation arena, including but not limited to, economic impacts of project acceleration, project certainty, and implications for the Strategy;
- Analyzing the Southern California Industry Clusters, including factors in determining the competitive position of each cluster; and
- Increasing collaboration with Southern California's key business, public sector and labor leaders on SCAG's ongoing planning activities.

### **Strategic Plan:**

This item supports SCAG's Strategic Plan, Goal 1: Improve Regional Decision

Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective b: Develop external communications and media strategy to promote partnerships, build consensus and foster inclusiveness in the decision making process; and Objective c: Provide practical solutions for moving new ideas forward.

<b>Amendment</b>	Amendments	\$300,000
<b>Amount:</b>	Original contract value	<u>\$1,000,000</u>
	<b>Total contract value is not-to-exceed</b>	<b>\$1,300,000</b>

The total amount of these amendments exceeds \$75,000. Therefore, in accordance with the SCAG Procurement Manual (dated 11/01/14) Section 8.3, it requires the Regional Council's Approval

**Contract Period:** September 10, 2012 through June 30, 2016

**Project Number:** 055.SCG0131.01 and 055.SCG0131.02      \$1,300,000

Funding sources: Consolidated Planning Grant – FHWA, FTA and TDA

**Basis for the Amendment:** As the RTP/SCS is a living document that is not necessarily re-written, but updated every four years, it is important that the economic analysis conducted for the RTP/SCS be updated in a similar fashion. The current team of consultants are familiar with the demands and requirements of completing an economic analysis for a document as complex as the RTP/SCS. They already provide on-going economic work related to the implementation of the 2012-2035 RTP/SCS, and retaining them will ensure that the economic analysis for the 2016-2040 RTP/SCS will be based upon the best and most reliable information possible. The team of consultants allows SCAG to retain a broader level of localized independent economic expertise throughout the six-county region.

**Conflict Of Interest Form - Attachment  
For April 2, 2015 Regional Council Approval**

**Item #: 9**

Approve amendment to Contact Nos. 12-043-C1 through 12-043-C11, with multiple consultants, in an amount not-to-exceed \$300,000, to provide additional on-call economic advisory and outreach services for the RTP/SCS.

The consultant team for the contract includes:

1. Economics & Politics, Inc. (no sub consultants)
2. Orange County Business Council (no sub consultants)
3. Los Angeles County Economic Development Corporation (LAEDC - no sub consultants)
4. Green Tech Coast LLC
  - Sub: William E. Donovan Attorney at Law
  - Sub: Geoinversion Technologies, Inc.
  - Sub: The 20/20 Network
5. GrassrootsLab, LLC (Contract Completed/Closed)
6. Center for Continuing Study of the California Economy (CCSCE)
7. California Lutheran University – The Center for Economic Research and Forecasting
  - Sub: Gallagher 20/20 Consulting
  - Sub: Weaver Research & Consulting Group
8. CDM Smith
  - Sub: Arellano Associates, LLC
9. Development Management Group, Inc.
10. Jack Faucett Associates (Contract Completed/Closed)
11. Kosmont & Associates, Inc.
  - Sub: Burke Rix Communications

# SCAG CONFLICT OF INTEREST FORM

RFP No. 12-043

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

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Name of Firm: **Economics & Politics, Inc.**

Name of Preparer: **John E. Husing**

Project Title: **On-Call Economic Advisory and Outreach Services**

RFP Number: **12-043**

Date Submitted: **July 15, 2012**

## SECTION II: QUESTIONS

- 1 During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES       NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES     NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

### **SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

#### **DECLARATION**

I, (printed full name) John Husing, (Social Security Number; optional) hereby declare that I am the Vice President of Economics & Politics, Inc. and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



**July 16, 2012**

\_\_\_\_\_  
Signature of Person Certifying for Proposer  
(original signature required)

\_\_\_\_\_  
Date

#### **NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 12-043

## SECTION I: INSTRUCTIONS

All persons or firms seeking Federal funded contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

**Name of Firm:** Orange County Business Council

**Name of Preparer:** Dr. Wallace Walrod

**Project Title:** On-Call Economic Advisory and Outreach Services

**RFP Number:** 12-043      **Date Submitted:** July 18, 2012

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES       NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

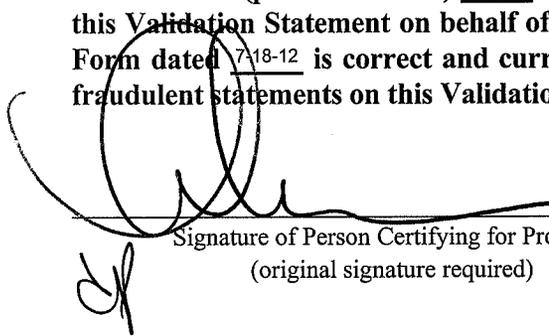
Name	Date	Dollar Value
Kris Murray, Shawn Nelson	2/19/2010; 12/15/2010	\$1700; \$1700
Leslie Daigle	6/24/2010; 11/23/2011	\$1000, \$500
Suhkee Kang, Matt Harper	9/28/2010; 10/1/2010	\$440; \$520
Jerry Amante	4/6/2011; 8/25/2011	\$500; \$1500

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Lucy Dunn, (Social Security Number; optional) \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ hereby declare that I am the (position or title) CEO of (firm name) OCBC, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 7-18-12 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

  
 \_\_\_\_\_  
 Signature of Person Certifying for Proposer      7-18-12      Date  
 (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 12-043

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

**Name of Firm:** Los Angeles County Economic Development Corporation  
**Name of Preparer:** Christine Cooper  
**Project Title:** On-Call Economic Advisory and Outreach Services  
**RFP Number:** 12-043      **Date Submitted:** 7-19-2012

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES       NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

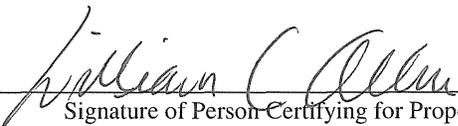
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) William C. Allen, (Social Security Number; optional) \_\_\_\_\_ hereby declare that I am the (position or title) President & CEO of (firm name) Los Angeles County Economic Development Corp., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 7/17/2012 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

      7-19-2012  
 Signature of Person Certifying for Proposer      Date  
 (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 12-043

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](#). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: Grampark Coast LLC  
Name of Preparer: Lou Siegel  
Project Title: Lobos Canyon Dam  
RFP Number: 12-043 Date Submitted: 7.16.12

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES     NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES     NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES     NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Lou Siegel, (Social Security Number; optional) \_\_\_\_\_, hereby declare that I am the (position or title) \_\_\_\_\_ of (firm name) \_\_\_\_\_, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated \_\_\_\_\_ is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

\_\_\_\_\_  
 Signature of Person Certifying for Proposer  
 (original signature required)

7.16.12  
 Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 12-043

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: William E. Dawson, Attorney-at-Law  
Name of Preparer: William E. Dawson  
Project Title: On-Call Economic Advisory and Outreach Services  
RFP Number: 12-043 Date Submitted: 7/16/12

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) William E. Donovan, (Social Security Number; optional) \_\_\_\_\_ hereby declare that I am the (position or title) owner of (firm name) William E. Donovan, Attorney at Law, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 7/16/12 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

William E. Donovan \_\_\_\_\_      7/16/12 \_\_\_\_\_  
 Signature of Person Certifying for Proposer      Date  
 (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 12-043

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: GeoInversion Technologies, Inc.  
Name of Preparer: Zachery J. Baker  
Project Title: On-Call Economic Advisory and Outreach Services  
RFP Number: 12-043 Date Submitted: July 16, 2012

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

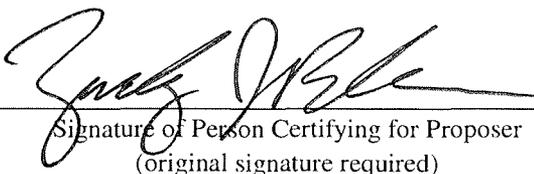
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Zachary James Baker, (Social Security Number; optional) 1-77- hereby declare that I am the (position or title) secretary of (firm name) GeoInversion Technologies, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated July 16, 2012 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

  
 Signature of Person Certifying for Proposer  
 (original signature required)

July 16, 2012  
 Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 12-043

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: The 20/20 Network  
Name of Preparer: Stephen J. Lambert  
Project Title: On-Call Economic Advisory & Outreach Services  
RFP Number: 12-043 Date Submitted: 7/15/12

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Stephen J. Lambert, (Social Security Number; optional) \_\_\_\_\_ hereby declare that I am the (position or title) partner of (firm name) The 20/20 Network, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 7/15/12 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

Stephen J. Lambert \_\_\_\_\_      7/15/12 \_\_\_\_\_  
 Signature of Person Certifying for Proposer      Date  
 (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 12-043

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

**Name of Firm:** GrassrootsLab, LLC

**Name of Preparer:** Robb Korinke

**Project Title:** On Call Economic Advisory and Outreach Services

**RFP Number:** 12-043                      **Date Submitted:** 7-19-12

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES             NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

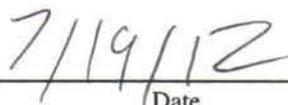
This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Robb Korinke, (Social Security Number; optional) \_\_\_\_\_ hereby declare that I am the (position or title) Owner/Principle of (firm name) GrassrootsLab, LLC, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 7/19/12 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



\_\_\_\_\_  
Signature of Person Certifying for Proposer  
(original signature required)



\_\_\_\_\_  
Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 12-043

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: CCSCE  
Name of Preparer: Stephen Levy  
Project Title: On-rail Economic Advisory and Outreach Services  
RFP Number: 12-043 Date Submitted: 7/13/12

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES     NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

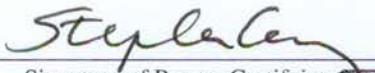
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Stephen Levy, (Social Security Number; optional) \_\_\_\_\_ hereby declare that I am the (position or title) Director of (firm name) CCSCE, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 7/10/12 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

  
 \_\_\_\_\_  
 Signature of Person Certifying  Proposer  
 (original signature required)
 

7/10/12  
 \_\_\_\_\_  
 Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 12-043

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

**Name of Firm:** CALIFORNIA LUTHERAN UNIVERSITY  
CENTER FOR ECONOMIC RESEARCH AND FORECASTING

**Name of Preparer:** BILL WATKINS

**Project Title:** ON-CALL ECONOMIC ADVISORY AND OUTREACH SERVICES

**RFP Number:** 12-043      **Date Submitted:** 7/19/2012

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES       NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES     NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES     NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES     NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____



# SCAG CONFLICT OF INTEREST FORM

RFP No. 12-043

## SECTION I: INSTRUCTIONS

All persons or firms seeking Federal funded contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: Gallagher 20/20 Consulting

Name of Preparer: Tim Gallagher

Project Title: ON-CALL ECONOMIC ADVISORY AND OUTREACH SERVICES

RFP Number: 12-043 Date Submitted: 7/19/2012

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

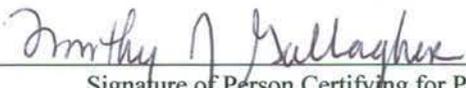
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Timothy Gallagher, (Social Security Number; optional) \_\_\_\_\_ hereby declare that I am the (position or title) owner of (firm name) Gallagher 202X, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 7/18/12 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.


July 18, 2012  
 \_\_\_\_\_  
 Signature of Person Certifying for Proposer      Date  
 (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 12-043

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

**Name of Firm:** Weaver Research and Consulting Group

**Name of Preparer:** Susan H. Weaver

**Project Title:** RFP 12-043: On-Call Economic Advisory and Outreach Services

**RFP Number:** RFP 12-043      **Date Submitted:** 17 July 2012

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES       NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES     NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES     NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES     NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES  NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Susan H. Weaver, (Social Security Number; optional) \_\_\_\_\_ hereby declare that I am the (position or title) President of (firm name) Weaver Research & Consulting Group and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated July 17, 2012 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

Susan H. Weaver \_\_\_\_\_ 12 July 2012 \_\_\_\_\_  
 Signature of Person Certifying for Proposer Date  
 (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 12-043

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: CDM Smith

Name of Preparer: ABDOLLAH ANSARI

Project Title: On-Call Economic Advisory & Outreach Services

RFP Number: 12-043 Date Submitted: July 19, 2012

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
<u>PAUL KREKORIAN</u>	<u>2010</u>	<u>\$ 500</u>
<u>JAN PERRY</u>	<u>2012</u>	<u>200</u>
<u>MITCHELL ENGLANDER</u>	<u>2011</u>	<u>300</u>
<u>MITCHELL ENGLANDER</u>	<u>2011</u>	<u>300</u>

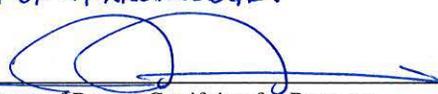
*ALL CONTRIBUTIONS WERE BY INDIVIDUALS EMPLOYED BY CDM SMITH AND NOT BY THE FIRM.*

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) ABDOLLAH ANSARI, (Social Security Number; optional) \_\_\_\_\_ hereby declare that I am the (position or title) PRINCIPAL of (firm name) CDM Smith, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated JULY 19, 2012 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal. *THE INFORMATION PROVIDED IS ACCURATE TO THE BEST OF MY KNOWLEDGE.*

  
 \_\_\_\_\_  
 Signature of Person Certifying for Proposer  
 (original signature required)

JULY 19, 2012  
 \_\_\_\_\_  
 Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 12-043

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: Arellano Associates, LLC  
Name of Preparer: Celeste Milan  
Project Title: On Call Economic Advisory + Outreach Services  
RFP Number: 12-043 Date Submitted: 7-19-12

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES  NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Genovera L. Arellano, (Social Security Number; optional) \_\_\_\_\_ hereby declare that I am the (position or title) Principal of (firm name) Arellano Associates, LLC, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated \_\_\_\_\_ is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

Genovera L. Arellano \_\_\_\_\_ Date 7-19-12  
 Signature of Person Certifying for Proposer (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 12-043

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: DEVELOPMENT MANAGEMENT GROUP, INC.  
Name of Preparer: MICHAEL BRACKEN  
Project Title: RFP 12-043 ON-CALL ECONOMIC ADVISING & OUTREACH SERVICES  
RFP Number: 12-043 Date Submitted: 07/05/12

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<u>N/A</u>	

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
N/A		

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
N/A	

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
N/A	



# SCAG CONFLICT OF INTEREST FORM

RFP No. 12-043

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: Jack Faucett Associates  
Name of Preparer: Lewison Lem  
Project Title: On-call Economic Advisory and Outreach Services  
RFP Number: RFP Number 12-043 Date Submitted: 7/19/12

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES      NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES      NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES      NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____



## SCAG CONFLICT OF INTEREST FORM

RFP No. 12-043

### SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

**Name of Firm:** Kosmont & Associates, Inc., dba "Kosmont Companies"  
**Name of Preparer:** Matt Goulet, Vice President  
**Project Title:** SCAG - On-Call Economic Advisory and Outreach Services  
**RFP Number:** 12-043      **Date Submitted:** July 19, 2012

### SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES       NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES     NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES     NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES     NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

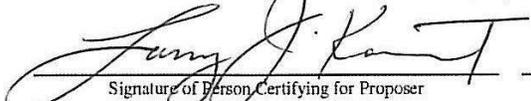
Name	Date	Dollar Value
See Attachment 7A		

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Larry J. Kosmont, (Social Security Number; optional) \_\_\_\_\_ hereby declare that I am the (position or title) President & CEO of (firm name) Kosmont & Associates, Inc., dba "Kosmont Companies", and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated July 19, 2012 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

 \_\_\_\_\_  
 Signature of Person Certifying for Proposer      July 19, 2012  
 (original signature required)      Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

**Attachment 7A – Response to Question 5:**

<u>Name</u>	<u>Date</u>	<u>Dollar Value</u>
Hon. Bernard C. Parks (District 55)	12/21/2007	\$500
Hon. Jose Hulzar (District 61)	4/4/2006	\$500
Hon. Herb J. Wesson (District 57)	10/28/2006	\$500

# SCAG CONFLICT OF INTEREST FORM

RFP No. 12-043

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: Burke Rix Communications, LLC  
Name of Preparer: Brian G. Rix  
Project Title: On-Call Economic Advisory and Outreach Services  
RFP Number: 12-043 Date Submitted: 7-17-12

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES     NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES     NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES     NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Brian G. Rix, (Social Security Number; optional) \_\_\_\_\_ hereby declare that I am the (position or title) Managing Partner of (firm name) Burke Rix Communications, LLC, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 7-17-12 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

 \_\_\_\_\_ 7-17-12 \_\_\_\_\_  
 Signature of Person Certifying for Proposer      Date  
 (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

**DATE:** April 2, 2015

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

**SUBJECT:** Contract Amendment That Increases the Contract Value to \$200,000 or Greater: Contract No. 13-019-C1, Videography Services:

**EXECUTIVE DIRECTOR'S APPROVAL:** 

**RECOMMENDED ACTION:**  
Approve Amendment 1 to Contract No. 13-019-C1 with Robert Wall Consulting, LLC, in the amount of \$48,000 to provide additional videography services.

**EXECUTIVE SUMMARY:**  
*To fulfill the 2016-2040 Regional Transportation/Sustainable Communities Strategy (2016 RTP/SCS) public outreach requirements, SCAG will be seeking additional videography services from Robert Wall Consulting to develop 6-8 targeted videos to educate and solicit feedback from stakeholders and the public through various outlets. The aggregate value of the amended contract will exceed \$200,000 and therefore, in accordance with the SCAG Procurement Manual (dated 11/01/14) Section 8.3, it requires the Regional Council's approval.*

**STRATEGIC PLAN:**  
This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

**BACKGROUND:**

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Robert Wall Consulting, LLC, 13-019-C1	The consultant shall provide additional videography services to meet RTP/SCS public outreach requirements.	\$48,000

**FISCAL IMPACT:**  
Funding is funding is available in the FY15 budget.

**ATTACHMENT:**  
Consultant Contract No. 13-019-C1



## **CONTRACT 13-019-C1 AMENDMENT 3**

**Recommended Consultant:** Robert Wall Consulting, LLC

**Background & Scope of Work:** On February 13, 2013, SCAG awarded Contract 13-019-C1 to Robert Wall Consulting, LLC to produce the agency’s annual Accomplishments Video, highlighting key accomplishments of the past year and the Sustainability Grant program videos, highlighting the project/jurisdictions that received Sustainability Grant. The Accomplishments video will be presented during the General Assembly and the Sustainability Grant program will be presented during the Awards Dinner.

The purpose of this amendment is to develop 6-8 additional brief videos summarizing elements of the 2016-2040 RTP/SCS Regional Transportation Plan and Sustainability Communities Strategy (RTP/SCS) to support activities at the General Assembly and throughout the development of the 2016-2040 RTP/SCS.

**Project’s Benefits & Key Deliverables:** The videos provide a record of SCAG’s major planning, legislative and project accomplishments of the past year, and inform agency members and the general public about SCAG programs, plans, services and initiatives.

**Strategic Plan:** This item supports SCAG’s Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective b: Develop external communications and media strategy to promote partnerships, build consensus and foster inclusiveness in the decision-making process.

<b>Amendment</b>	Amendment 3	\$48,000
<b>Amount:</b>	Amendment 2 (administrative - no change to contract’s value)	\$0
	Amendment 1 (administrative - no change to contract’s value)	\$0
	Original contract value	<u>\$185,190</u>
	<b>Total contract value is not-to-exceed</b>	<b>\$233,190</b>

The aggregate value of the amended contract will exceed \$200,000 and therefore, in accordance with the SCAG Procurement Manual (dated 11/01/14) Section 8.3, it requires the Regional Council’s approval.

**Contract Period:** February 13, 2013 through June 30, 2015

**Project Number:** 090-0148A.01 \$205,191  
065-0137A.08 \$27,999  
Funding source: Consolidated Planning Grant – FHWA and FTA

**Basis for the Amendment:** To fulfill the 2016-2040 RTP/SCS public outreach requirements and present related information at SCAG’s 2015 General Assembly, SCAG will be seeking additional videography services from Robert Wall Consulting to develop 6-8 targeted videos to educate and solicit feedback from stakeholders and the public through various outlets. These videos will include b-roll and other footage from the videos produced during the consultant’s tenure as SCAG’s videographer in addition to the consultant’s expertise on the specific subject area.

**Conflict Of Interest Form - Attachment  
For April 2, 2015 Regional Council Approval**

**Item #: 10**

Approve Amendment 1 to Contract No. 13-019-C1 with Robert Wall Consulting, LLC, in the amount of \$48,000 to provide additional videography services.

The consultant team for the contract includes:

Robert Wall Consulting, LLC

Sub: Caitlin Wilbert

Sub: Blake Scripps

# SCAG CONFLICT OF INTEREST FORM

RFP No. 13-019

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: Robert WALL consulting, LLC  
Name of Preparer: Robert wall  
Project Title: VIDEOGRAPHY SERVICES  
RFP Number: 13-019 Date Submitted: 11/29/12

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES      NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

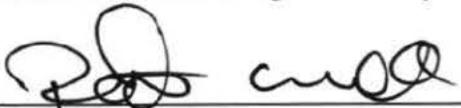
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) ROBERT C. WALL, hereby declare that I am the (position or title) OWNER of (firm name) ROBERT WALL CONSULTING, LLC, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 11/29/12 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer  
(original signature required)

11/29/12

Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 13-019

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: Cabin 22 Media, LLC  
Name of Preparer: CAITLIN WILBERT  
Project Title: \_\_\_\_\_  
RFP Number: \_\_\_\_\_ Date Submitted: 11/29/12

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES  NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

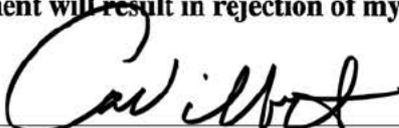
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Caitlin Wilbert, hereby declare that I am the (position or title) editor/graphic designer of (firm name) Cable 22 Media LLC, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 11/29/12 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.


11/29/12  
 \_\_\_\_\_  
 Signature of Person Certifying for Proposer Date  
 (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 13-019

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: IRON DOCK PRODUCTIONS, LLC.

Name of Preparer: BLAKE SCRIPPS

Project Title: VIDEOGRAPHY SERVICES - ANNUAL ACCOMPLISHMENTS/COMPASS AWARDS

RFP Number: 13-019 Date Submitted: 11/29/12

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES     NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES     NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES     NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____



**DATE:** April 2, 2015

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

**SUBJECT:** Contract Amendment That Increases the Contract Value to \$200,000 or Greater: Contract No. 14-018-C1, Audit Services

**EXECUTIVE DIRECTOR'S APPROVAL:** 

**RECOMMENDED ACTION:**

Approve Amendment 1 to Contract No. 14-018-C1 with Vasquez & Company LLP (Vasquez), in the amount of \$44,989, to provide additional audit services.

**EXECUTIVE SUMMARY:**

*Recent changes to OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations required Vasquez to perform work on two of SCAG's major programs rather than the one major program initially required. To meet this new federal mandate, SCAG requires Vasquez to conduct additional work. The aggregate value of the amended contract will exceed \$200,000 and therefore, in accordance with the SCAG Procurement Manual (dated 11/01/14) Section 8.3, it requires the Regional Council's approval.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

**BACKGROUND:**

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Vasquez & Company LLP, (14-018-C1)	The consultant shall provide additional audit services to meet new federally mandated audit requirement.	\$44,989

**FISCAL IMPACT:**

Funding is funding is available in the FY15 budget.

**ATTACHMENT:**

Consultant Contract No. 14-018-C1

## **CONTRACT 14-018-C1 AMENDMENT 1**

<b>Consultant:</b>	Vasquez & Company LLP	
<b>Background &amp; Scope of Work:</b>	On May 1, 2014, SCAG awarded Contract 14-018-C1 to Vasquez & Company LLP (Vasquez) to provide federal and state mandated auditing services. The purpose of this amendment is to provide additional funding to enable the consultant to provide new federally mandated audit requirements.	
<b>Project's Benefits &amp; Key Deliverables:</b>	The project's benefits and key deliverables include, but are not limited to: <ul style="list-style-type: none"><li>• Providing Comprehensive Annual Financial Report (CAFR) and Single Audit Report auditor opinions;</li><li>• Increasing the public's confidence in the financial statements released by SCAG;</li><li>• Increasing the ability to safeguard SCAG's assets; and</li><li>• Providing assurance that SCAG's control environment is adequate.</li></ul>	
<b>Strategic Plan:</b>	This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.	
<b>Amendment Amount:</b>	Amendment 1	\$44,989
	Original contract value	<u>\$195,000</u>
	<b>Total contract value is not-to-exceed</b>	<b>\$239,989</b>
	The aggregate value of the amended contract will exceed \$200,000 and therefore, in accordance with the SCAG Procurement Manual (dated 11/01/14) Section 8.3, it requires the Regional Council's approval.	
<b>Contract Period:</b>	May 1, 2014 through June 30, 2017	
<b>Project Number:</b>	810.0120.08 \$44,989 Funding sources: Indirect Cost budget	
<b>Basis for the Amendment:</b>	Recent changes to OMB Circular A-133, <i>Audits of States, Local Governments, and Non-Profit Organizations</i> required Vasquez to perform work on two of SCAG's major programs rather than the one major program initially required. To meet this new federal mandate, SCAG requires Vasquez to conduct additional work.	

**Conflict Of Interest Form - Attachment  
For April 2, 2015 Regional Council Approval**

**Item #: 11**

Approve Amendment 1 to Contract No. 14-018-C1 with Vasquez & Company LLP, in the amount of \$44,989, to provide additional audit services.

The consultant team for the contract include:

Vasquez & Company LLP (no subconsultants)

# SCAG CONFLICT OF INTEREST FORM

RFP No. 14-018

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: Vasquez & Company LLP  
Name of Preparer: Gilbert R. Vasquez  
Project Title: Professional Auditing Services  
RFP Number: 14-018 Date Submitted: 2/24/2014

## SECTION II: QUESTIONS

- I. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Gilbert R. Vasquez, hereby declare that I am the (position or title) Managing Partner of (firm name) Vasquez & Company LLP, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 2/24/2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

  
 \_\_\_\_\_      2/24/2014  
 Signature of Person Certifying for Proposer      Date  
 (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

**DATE:** April 2, 2015

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

**SUBJECT:** Contracts \$200,000 or Greater: Contract No. 15-001-B74, General Plan Update and Bicycle Master Plan

**EXECUTIVE DIRECTOR'S APPROVAL:** 

**RECOMMENDED ACTION:**

Approve Contract No. 15-001-B74 with Blodgett/Baylosis Environmental Planning, in an amount not-to-exceed \$218,963, to provide professional consulting services under SGAG's Sustainability Planning Grant to update the City's General Plan incorporating a new Bicycle Master Plan.

**EXECUTIVE SUMMARY:**

*The consultant shall update the City's General Plan and Bicycle Master Plan consistent with strategies in SCAG's 2016 Regional Transportation Plan and Sustainable Communities Strategy (RTP/SCS), by including strategies to reduce greenhouse gas (GHG) emissions through providing alternatives to vehicular transportation.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

**BACKGROUND:**

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Blodgett/Baylosis Environmental Planning (15-001-B74)	The consultant shall update the City's General Plan and Bicycle Master Plan consistent with strategies in SCAG's 2016 RTP/SCS, specifically to improve air quality, mobility, safety, and economic development for the City of Bell; as well as to promote public health by increasing active transportation opportunities.	\$218,963

**FISCAL IMPACT:**

Transit Development Act (TDA) funding is available in the FY15 and FY16 budgets.

**ATTACHMENT:**

Consultant Contract No. 15-001-B74

**CONSULTANT CONTRACT 15-001-B74**

**Recommended Consultant:** Blodgett/Baylosis Environmental Planning

**Background & Scope of Work:** The consultant shall provide services for a Sustainability Planning Grant for the City of Bell. Specifically, the consultant shall provide professional services to update the City’s General Plan incorporating a new Bicycle Master Plan. The General Plan must be sustainable and minimize pollution and shall include the following mandatory elements: land use, circulation, open space, conservation, noise, and safety.

This project supports the 2016 RTP/SCS by including strategies to reduce greenhouse gas (GHG) emissions through providing alternatives to vehicular transportation. It also promotes public health by increasing active transportation opportunities.

**Project’s Benefits & Key Deliverables:** The project’s benefits and key deliverables include, but are not limited to:

- An updated general plan and bicycle master plan that provide strategies to improve air quality, mobility, safety, and economic development for the City of Bell; as well as promoting public health by increasing active transportation opportunities.

**Strategic Plan:** This item supports SCAG’s Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

<b>Contract Amount:</b>	<b>Total not-to-exceed</b>	<b>\$218,963</b>
	Blodgett/Baylosis Environmental Planning (prime consultant)	\$179,115
	Alta Planning + Design (subconsultant)	\$39,848

**Contract Period:** Notice-to-Proceed through June 30, 2016

**Project Number:** 065-137E.01 \$218,963  
Funding Source: TDA and Local

**Request-for-Proposal (RFP):** SCAG staff notified 1,711 firms of the release of RFP No. 15-001-B74. Staff also advertised the RFP on SCAG’s bid management system. A total of 71 firms downloaded the RFP. SCAG received the following three (3) proposals in response to the solicitation:

<b>Blodgett/Baylosis Environmental Planning (1 subconsultant)</b>	<b>\$218,963</b>
Moore Iacofano Goltsman, Inc. (1 subconsultant)	\$329,951
Pacific Municipal Consultant (1 subconsultant)	\$330,790

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed all three offerors.

The PRC consisted of the following individuals:

India Brookover, Assistant Regional Planner, SCAG  
Dan Garcia, Senior Civil Engineer, City of Bell  
Joe Perez, Community Development Director, City of Bell  
Terry Rodrigue, City Engineer, City of Bell

- Basis for Selection:** The PRC selected Blodgett/Baylosis Environmental Planning for the contract award because the consultant:
- Proposed the lowest price with the best value;
  - Demonstrated the best technical approach that will integrate the plan with neighboring cities of Cudahy, Maywood, and Bell Gardens; and
  - Provided the most efficient project timeline.

**Conflict Of Interest Form - Attachment  
For April 2, 2015 Regional Council Approval**

**Item #: 12**

Approve Contract No. 15-001-B74, in an amount not to exceed \$218,963, to provide professional consulting services under SGAG's Sustainability Planning Grant to update the City's General Plan incorporating a new Bicycle Master Plan.

The consultant team for the contract includes:

Blodgett/Baylosis Environmental Planning  
Sub: Alta Planning + Design

# SCAG CONFLICT OF INTEREST FORM

RFP No. 15-001-B74

## SECTION I: INSTRUCTIONS

All persons or firms seeking Federal funded contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

**Name of Firm:** Blodgett/Baylosis Environmental Planning

**Name of Preparer:** Marc Blodgett

**Project Title:** City of Bell General Plan Update and Bicycle Master Plan

**RFP Number:** 15-001-B74 **Date Submitted:** 1/26/2015

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Marc Blodgett, (Social Security Number; optional) \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ hereby declare that I am the (position or title) Principal of (firm name) BBEP, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated \_\_\_\_\_ is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

  
 \_\_\_\_\_ 1/26/2015 \_\_\_\_\_  
 Signature of Person Certifying for Proposer Date  
 (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 15-001-B74

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: Alta Planning + Design

Name of Preparer: Brett Hondorp, Principal

Project Title: City of Bell General Plan Update and Bicycle Master Plan

RFP Number: 15-001-B74 Date Submitted: 1/26/2015

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

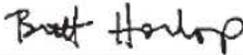
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Brett Hondorp, hereby declare that I am the (position or title) Principal of (firm name) Alta Planning + Design, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 1/14/2015 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

      1/14/2015  
 Signature of Person Certifying for Proposer      Date  
 (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

**DATE:** April 2, 2015

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

**SUBJECT:** Contracts \$200,000 or Greater: Contract No. 15-023-C1, Active Transportation Safety and Encouragement Campaign

**EXECUTIVE DIRECTOR'S APPROVAL:** 

**RECOMMENDED ACTION:**

Approve Contract No. 15-023-C1 with Daniel J. Edelman, Inc. (Edelman), in an amount not-to-exceed \$1,000,000, to provide professional consulting services for the California Active Transportation Program (ATP), created by Senate Bill 99 and Assembly Bill 101 to encourage increased use of active modes of transportation, such as biking and walking, as well as to ensure compliance with the federal transportation authorization Moving Ahead for Progress in the 21st Century (MAP-21).

**EXECUTIVE SUMMARY:**

*The consultant shall develop and implement the communication components of a comprehensive Regional Active Transportation Safety and Encouragement Campaign.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective b: Develop external communications and media strategy to promote partnerships, build consensus and foster inclusiveness in the decision making process.

**BACKGROUND:**

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Edelman (15-023-C1)	Consultant shall develop and implement the communication components of a comprehensive Regional Active Transportation Safety and Encouragement Campaign.	\$1,000,000

**FISCAL IMPACT:**

State (Active Transportation Program Grant) funding is available in the FY15 and FY16 budgets.

**ATTACHMENT:**

Consultant Contract No. 15-023-C1

## CONSULTANT CONTRACTS 15-023-C1

<b>Recommended Consultant:</b>	Daniel J. Edelman, Inc. (Edelman)								
<b>Background &amp; Scope of Work:</b>	<p>The California Active Transportation Program (ATP) was created by Senate Bill 99 and Assembly Bill 101 to encourage increased use of active modes of transportation, such as biking and walking, as well as to ensure compliance with the federal transportation authorization Moving Ahead for Progress in the 21st Century (MAP-21).</p> <p>The Regional Active Transportation Safety and Encouragement Campaign (Campaign) is funded by Caltrans through the ATP grant. The overarching goals of the ATP program include: increasing the proportion of trips accomplished by biking and walking; increasing safety and mobility for non-motorized users; advancing the active transportation efforts of regional agencies to achieve greenhouse gas (GHG) reduction goals; enhancing public health; and, ensuring that disadvantaged communities fully share in the benefits of the program.</p> <p>Consultant shall develop and implement the communication components of a comprehensive Campaign.</p>								
<b>Project's Benefits &amp; Key Deliverables:</b>	<p>The project's benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none"><li>• Reduce vehicle versus pedestrian and bicycle collisions, while increasing levels of walking and biking in Southern California;</li><li>• Regional coordination/stakeholder engagement presentation materials;</li><li>• Advertising strategy, various media strategies, coordinated activities;</li><li>• Draft and final Marketing and Outreach Plan;</li><li>• Project management, presentations, marketing support, photos, meeting materials, press releases, etc.; and</li><li>• Final report, including summary, next steps, and funding recommendations.</li></ul>								
<b>Strategic Plan:</b>	<p>This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective b: Develop external communications and media strategy to promote partnerships, build consensus and foster inclusiveness in the decision making process.</p>								
<b>Contract Amount:</b>	<table><tr><td><b>Total not-to-exceed</b></td><td style="text-align: right;"><b>\$1,000,000</b></td></tr><tr><td>Daniel J. Edelman, Inc. (prime consultant)</td><td style="text-align: right;">\$224,069</td></tr><tr><td>Sherry Matthews, Inc. (subconsultant)</td><td style="text-align: right;">\$735,931</td></tr><tr><td>Nakatomi &amp; Associates, Inc.</td><td style="text-align: right;">\$40,000</td></tr></table> <p>Note: Edelman originally proposed \$1,548,620, but staff negotiated the price down to \$1,000,000 without reducing the Scope of Work, and only removed tasks or level of effort not required in the RFP.</p>	<b>Total not-to-exceed</b>	<b>\$1,000,000</b>	Daniel J. Edelman, Inc. (prime consultant)	\$224,069	Sherry Matthews, Inc. (subconsultant)	\$735,931	Nakatomi & Associates, Inc.	\$40,000
<b>Total not-to-exceed</b>	<b>\$1,000,000</b>								
Daniel J. Edelman, Inc. (prime consultant)	\$224,069								
Sherry Matthews, Inc. (subconsultant)	\$735,931								
Nakatomi & Associates, Inc.	\$40,000								
<b>Contract Period:</b>	Notice-to-Proceed through September 30, 2016								
<b>Project Number:</b>	225-0356.01 \$1,000,000 Funding source: State (Active Transportation Program Grant)								

**Request-for-Proposal (RFP):** SCAG staff notified 1,820 firms of the release of RFP 15-023-C1. SCAG staff also posted it on SCAG’s bid management system. A total of 91 firms downloaded the RFP. SCAG received the following seven (7) proposals in response to the solicitation:

<b>Daniel J. Edelman, Inc. (2 subconsultants)</b>	<b>\$1,548,620</b>
Gensler (3 subconsultants)	\$677,431
T&T Public Relations, Inc. (3 subconsultants)	\$1,164,696
Celtis Ventures LLC (2 subconsultants)	\$1,189,956
The 20/20 Network (4 subconsultants)	\$1,360,193
MIG, Inc. (2 subconsultants)	\$1,464,671
David R. Figueroa / DCR Design (4 subconsultants)	\$1,506,650

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the four (4) highest ranked offerors.

The PRC consisted of the following individuals:

- Rye Baerg, Associate Regional Planner, SCAG
- Dale Benson, Bicycle and Pedestrian Coordinator, Caltrans, District 7
- Amy Buch, Division Manager, County of Orange Health Care Agency
- Jeff Liu, Manager of Communications, SCAG
- Rachel Tyree, Communications Director, Los Angeles County Department of Public Health

**Basis for Selection:** The PRC recommends Daniel J. Edelman, Inc. for contract award because the consultant:

- Provided the strongest history of conducting similar active transportation safety and encouragement campaigns and an understanding of common frameworks for addressing the safety concerns of bicyclists, pedestrians and drivers;
- Provided the strongest multicultural experience necessary to address the diverse needs of the SCAG region from both urban and rural contexts, specifically in providing messaging appropriate for non-English speaking communities;
- Provided the most creative strategies for focusing campaign outreach on disadvantaged communities and incorporating feedback from these communities;
- Demonstrated the best understanding of public health benefits for active transportation and provided an evidence based data driven approach to developing messaging and communicating the benefits of active transportation;
- Provided the most robust evaluation strategy tailored to the region to understand the reach of the campaign and effectiveness of the messaging; and
- Provided the most streamlined project management process and defined workflow strategy to facilitate communication between all phases of the campaign.

Although others firm(s) proposed a lower price, the PRC did not recommend any of these firm(s) for the contract award because the firm(s):

- Did not provide a complete and comprehensive package of services that included a knowledge of active transportation and similar campaigns, expertise in working with disadvantaged communities, knowledge of the SCAG region, a data driven approach, a detailed approach to engaging minority communities and non-English speakers, or assurances that they could meet the project scope within the existing budget;
- Did not demonstrate enough experience with projects of similar scope. Specifically, they lacked experience working on active transportation related campaigns, did not clarify how they would engage with low income and minority communities (a requirement of the grant), did not provide proposals that met all the deliverables required in the RFP, or did not provide a detailed evaluation component; and
- Did not effectively demonstrate the structure of the team. During the consultant interviews, the PRC members were not clear as to why the prime was the main consultant and why the subconsultant(s) were the subconsultant(s) based on who was doing much of the talking.

**Conflict Of Interest Form - Attachment  
For April 2, 2015 Regional Council Approval**

**Item #: 13**

Approve Contract No. 15-023-C1, in an amount not to exceed \$1,000,000, to provide professional services for the Active Transportation Safety and Encouragement Campaign.

The consultant team for the contract includes:

Daniel J. Edelman, Inc.

Sub: Sherry Matthews, Inc.

Sub: Nakatomi & Associates

# SCAG CONFLICT OF INTEREST FORM

RFP No. 15-023

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Daniel J. Edelman, Inc.  
Name of Preparer: Willy Villarreal  
Project Title: Active Transportation Safety and Education Campaign  
RFP Number: 15-023 Date Submitted: February 14, 2015

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES     NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES     NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES     NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____





2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

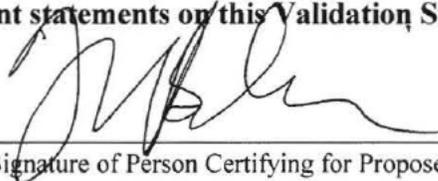
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Wardaleen Boh, (Social Security Number; optional) \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ hereby declare that I am the (position or title) CFO of (firm name) Sherry Matthea, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 02-04-15 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



\_\_\_\_\_  
Signature of Person Certifying for Proposer  
(original signature required)

02-04-2015

\_\_\_\_\_  
Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 15-023

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Nakatomi & Associates

Name of Preparer: Joni Byun

Project Title: Active Transportation Safety and Encouragement Campaign

RFP Number: 15-023 Date Submitted: February 4, 2015

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

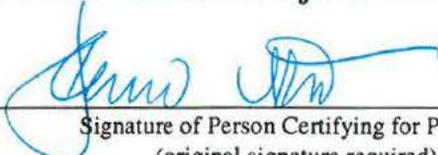
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Debra Nakatomi, hereby declare that I am the (position or title) President of (firm name) Nakatomi & Associates, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 1/28/15 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.


1/28/15  
 \_\_\_\_\_  
 Signature of Person Certifying for Proposer      Date  
 (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

**DATE:** April 2, 2015

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Darin Chidsey; Director, Strategy, Policy & Public Affairs; (213) 236-1836; [chidsey@scag.ca.gov](mailto:chidsey@scag.ca.gov)

**SUBJECT:** SCAG Memberships & Sponsorship

**EXECUTIVE DIRECTOR'S APPROVAL:** 

**RECOMMENDED ACTION:**  
Approve.

**EXECUTIVE SUMMARY:**

*The Legislative/Communications & Membership Committee (LCMC) met on March 17, 2015 and recommended approval of up to \$32,500 in FY 2016 memberships for the 1) METRANS Transportation Center Associates Program (\$25,000); 2) Town Hall Los Angeles (\$2,500); and 3) CEQA Working Group (\$5,000). In addition, the LCMC recommended approval of up to \$6,800 in sponsorships for the 2015 Randall Lewis Health Policy Fellowship Forum.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan: Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; and Goal 2: Obtain Regional Transportation infrastructure Funding and Promote Legislative Solutions for Regional Planning Priorities.

**BACKGROUND:**

**Memberships**

**1) METRANS Transportation Center Associates Program – (\$25,000)**

The METRANS Transportation Center is one of the key transportation research, education, and outreach centers in the United States. With its focus on solving transportation problems of large metropolitan areas, it has used Southern California as a laboratory and developed methods to increase the efficiency and sustainability of the region's transportation systems. As a partnership of two (2) of the largest universities in the region—University of Southern California (USC) and California State University, Long Beach (CSULB)—the Center trains the region's transportation professionals in engineering, urban planning, logistics, economics and public policy. Through conferences, workshops, and comprehensive media programs, METRANS serves as the regional focus for research dissemination and policy outreach. METRANS is a United States Department of Transportation-designated University Transportation Center.

The METRANS Associates Program provides the core support for METRANS. It serves as a model of collaboration between the University community, corporations, and government agencies who come together to study emerging trends and forecasts in goods movement and international trade, discuss relevant and timely developments in transportation policy, and share best practices in the field. METRANS Associates enjoy unparalleled access to USC and CSULB faculty and other policy experts and leaders in transportation. Additionally, METRANS Associates receive access to exclusive educational opportunities and outreach programming that explore timely and relevant topics in transportation, and provide access to an elite network of professionals working in the field. METRANS Associates also have access to the intellectual capital provided by graduate students and faculty at USC and CSULB, who are available to assist with a variety of planning and analysis projects within transportation.

SCAG staff is recommending again a “Silver Partner” membership of \$25,000 on the METRANS Associates Program, which includes:

- Membership on the METRANS Advisory Board;
- Invitation to the Annual Transportation Policy Retreat;
- Invitation to quarterly transportation policy Fireside Chats with USC and CSULB faculty and other leading transportation experts;
- Half page feature in METRANS quarterly newsletter, METRANS News;
- Free registration at all METRANS/CSULB Center for International Trade and Transportation (CITT) events, including the National Urban Freight Conference;
- Recognition as METRANS Associate Silver Partner and Sponsor at all METRANS/CITT events, including the National Urban Freight Conference, Town Hall meetings, and the annual Seminar Series;
- Invitation to all VIP receptions held in conjunction with METRANS/CITT events; and
- Recognition on METRANS website and other communication materials.

SCAG has supported METRANS over the past several years by being a sponsor of the National Urban Freight Conference. With this enhanced partnership with METRANS, SCAG would no longer need to sponsor that event as its benefits, as well as registration, are included with the overall membership of the METRANS program. SCAG has written many letters of support on their behalf, which have contributed to their success in competing for state and federal research grants. In addition, METRANS has supported SCAG activities in the past, and a number of former interns at SCAG were from the METRANS Transportation Center.

## 2) **Town Hall Los Angeles – (\$2,500)**

Town Hall Los Angeles convenes events around topics of regional concern and provides a platform for the discussion of solutions from a nonpartisan viewpoint. Since 1937, Town Hall Los Angeles has been supported entirely through dues and grants from individuals and organizations in the region and is counted among the Top Ten Leadership Forums in the nation. Their archive of nearly 5000 speakers provides a rich context for conversations about today’s economy, infrastructure, job growth, and other topics of importance to the region. SCAG members attend their meetings and events depending on the subject and availability.

SCAG staff is recommending that the agency continue support of Town Hall Los Angeles at the “Civic Circle” membership level with annual dues of \$2,500, which includes:

- Acknowledgement on website and in promotional materials including event materials and communications to speakers and members;
- Corporate tables of ten (10) at member rate with logo on signage at events (limit of one (1) table per event);
- Invitations to VIP receptions and exclusive events; and
- Los Angeles-area employees can also opt-in to be “Designees” receiving the following perks:
  - o Professional development;
  - o Access to the nation’s leaders;
  - o Reduced prices and advance notice on events; and
  - o Preferred seating at keynote and panel events.

### 3) **CEQA Working Group – (\$5,000)**

The CEQA Working Group is a broad coalition representing business, labor, schools, hospitals, clean tech, transit, affordable housing and other organizations that are pushing for moderate reforms to the California Environmental Quality Act (CEQA) that will preserve its original intent – environmental protection and public disclosure – while eliminating some of the misuses of CEQA that hurt job creation, community renewal, and the environment.

The coalition’s list of partners includes, but is not limited to, some of the following organizations:

- California Alliance for Jobs
- Transportation California
- San Bernardino Associated Governments (SANBAG)
- Western Riverside Council of Governments (WRCOG)
- California Transit Association
- Riverside County Transportation Commission (RCTC)
- Association of California Cities Orange County
- Los Angeles County Economic Development Corporation (LAEDC)
- San Gabriel Valley Economic Partnership
- Inland Empire Economic Partnership
- Los Angeles County Business Federation

SCAG staff has worked closely with the CEQA Working Group in recent years and a number of the coalition’s partners on furthering responsible ideas and dialogue on CEQA reform, and is recommending membership—with dues at \$5,000—in the coalition.

## Sponsorship

### 4) 2015 Randall Lewis Health Policy Fellowship Forum – (\$6,800)

The Randall Lewis Health Policy Fellowship Forum is an annual event conducted in partnership with Partners for Better Health (PBH) that is designed to spark conversations and strategic partnerships by highlighting the work of the current Randall Lewis Health Policy Fellows and Healthy Communities Initiatives from across the Southern California Region. This year's Forum will take place on April 22, 2015 at Red Hill Country Club in Rancho Cucamonga, CA from 5:00-7:00 PM.

The 2014-15 fellows are working in partnership with 23 municipalities on Healthy Communities Initiatives throughout San Bernardino, Riverside and Los Angeles Counties. The fellows are graduate students in public health and urban planning from 8 area universities. They represent emerging planning paradigms within Southern California that seek to incorporate public health into public policy and planning across the region. Through the fellowship, Healthy Communities jurisdictions are supported in their efforts to accelerate and support Healthy Communities capacity and the development of Sustainable Communities. SCAG's participation provides, but is not limited to, the following benefits:

- Expanded collaboration with Partners for Better Health and the Randall Lewis Health Policy Fellowship;
- Exposure of SCAG's work on public health to partners in the region; and
- Outreach opportunity for 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS) partners in the region who will be attending the event.

SCAG staff is recommending a sponsorship in the amount of \$6,800, which will include:

- Exhibition booth space; and
- Speaking Opportunity for the Executive Director.

This event will bring together city, county, university and community leaders and feature a fellow poster session with as well as key speakers from Partners for Better Health and SCAG, spotlighting the Healthy Communities Initiatives from across Southern California.

### **FISCAL IMPACT:**

\$39,300 for the memberships and sponsorship is included in the approved FY 14-15 General Fund budget.

### **ATTACHMENTS:**

None.

**DATE:** April 2, 2015

**TO:** Regional Council (RC)  
Executive/Administration Committee (EAC)  
Community, Economic, and Human Development (CEHD) Committee  
Energy and Environment Committee (EEC)  
Transportation Committee (TC)

**FROM:** Huasha Liu, Director of Land Use and Environmental Planning, [liu@scag.ca.gov](mailto:liu@scag.ca.gov),  
213-236-1838

**SUBJECT:** SCAG Sustainability Planning Grants Program – Monthly Update

**EXECUTIVE DIRECTOR'S APPROVAL:** 

**RECOMMENDED ACTION:**  
Receive and File.

**EXECUTIVE SUMMARY:**

*SCAG is providing the attached monthly update regarding successful implementation of seventy-five (75) Sustainability Grants to member agencies. Forty-four (44) of the seventy-five (75) approved SCAG Sustainability Planning Grants were funded in the fall of 2013. An additional fifteen (15) projects were funded in the summer of 2014. Six (6) of these projects will be funded by an award to SCAG from the California Strategic Growth Council. The remaining projects were funded in the fall of 2014. At the time this report was distributed, seventy (70) grant projects have had Scopes of Work developed and finalized, sixty-six (66) grant projects have had Request for Proposals (RFPs) released, fifty-eight (58) grant projects have selected consultants, and forty-eight (48) grant projects have had contracts executed (this includes contracts resulting from Memoranda of Understanding between SCAG and the following Cities and funding contributions: West Covina - \$200,000; Indio - \$175,000; Westminster - \$200,000; and Fountain Valley - \$200,000. These funding contributions are consistent with the Sustainability Grant amount the Regional Council previously authorized).*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; and Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies.

**BACKGROUND:**

On September 12, 2013, the Regional Council approved seventy-three (73) Sustainability Planning Grant projects and directed staff to proceed with funding projects with available funds for Phases I and Phase II projects (total of 44 projects). The remaining projects comprised Phase III and are proceeding as additional funds have become available in FY 2014-2015. An additional fifteen (15) projects were funded in the summer of 2014. On August 7, 2014 the Regional Council approved adding two (2) Sustainability Planning

# REPORT

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Grant projects to the approved list for a new total of seventy-five (75) projects. On October 2, 2014 the Regional Council approved funding for the remaining projects on the list.

SCAG staff is providing monthly updates to the Board regarding implementation of the seventy-five (75) grants. At the time this report was distributed, seventy (70) grant projects have had Scopes of Work developed and finalized, sixty-six (66) grant projects have had Request for Proposals (RFPs) released, fifty-eight (58) grant projects have selected consultants, and forty-eight (48) grant projects have had contracts executed (this includes contracts resulting from Memoranda of Understanding between SCAG and the following Cities and funding contributions: West Covina - \$200,000; Indio - \$175,000; Westminster - \$200,000; and Fountain Valley - \$200,000. These funding contributions are consistent with the Sustainability Grant amount the Regional Council previously authorized).

**FISCAL IMPACT:**

Funding is included in SCAG's FY 2014-15 Overall Work Program (OWP) Budget. Staff's work budget for the current fiscal year are included in FY 2014-15 OWP 065.SCG02663.02.

**ATTACHMENT:**

Summary Progress Chart

# SCAG Sustainability Planning Grants

March 12, 2015

Regional Council Progress Update

Rank	Applicant	Project	Working /				
			Last Contact	Scope	RFP	Selection	Contract
<b>Phase 1 (Available funds FY 13-14)</b>							
1	San Bernardino County	Bloomington Area Valley Blvd. Specific Plan Health and Wellness Element - <b>Public health; Active transportation; Livability; Open space</b>	x	x	x	x	x
2	Los Angeles - Department of City Planning	Van Nuys & Boyle Heights Modified Parking Requirements - <b>Economic development; TOD; Livability</b>	x	x	x	x	x
3	Los Angeles - Department of City Planning	Bicycle Plan Performance Evaluation - <b>Active transportation; performance measures</b>	x	x	x	x	x
4	Western Riverside Council of Governments	Public Health: Implementing the Sustainability Framework - <b>Public health; Multi-jurisdiction coordination; Sustainability</b>	x	x	x	x	x
5	Santa Ana	Complete Streets Plan - <b>Complete streets; Active transportation; Livability</b>	x	x	x	x	x
6	San Bernardino Associated Governments	Climate Action Plan Implementation Tools - <b>GHG reduction; Multi-jurisdiction coordination; Implementation</b>	x	x	x	x	x
7	Riverside	Restorative Growthprint Riverside - <b>GHG reduction; Infrastructure investment; Economic development</b>	x	x	x	x	x
8	Orange County Parks	Orange County Bicycle Loop - <b>Active transportation; Multi-jurisdictional; Public health</b>	x	x	x	x	x
9	Ventura County	Connecting Newbury Park - Multi-Use Pathway Plan - <b>Active transportation; Public health; Adaptive re-use</b>	x	x	x	x	x
10	Imperial County Transportation Commission	Safe Routes to School Plan - <b>Multi-modal; Active transportation</b>	x	x	x	x	x
11	Yucaipa	College Village/Greater Dunlap Neighborhood Sustainable Community - <b>Complete Streets; TOD</b>	x	x	x	x	x

Rank	Applicant	Project	Working /				
			Last Contact	Scope	RFP	Selection	Contract
12	Las Virgenes-Malibu Council of Governments	Multi-Jurisdictional Regional Bicycle Master Plan - <b>Active transportation; Public health; Adaptive re-use</b>	x	x	x	x	x
13	Eastvale	Bicycle & Pedestrian Master Plan - <b>Active Transportation</b>	x	x	x	x	x
14	West Covina	Downtown Central Business District - <b>Multi-modal; Active transportation</b>	x	x	x	x	x
15	Placentia	General Plan/Sustainability Element & Development Code Assistance - <b>General Plan Update; Sustainability Plan</b>	x	x	x	x	x
16	Paramount/Bellflower	Regional Bicycle Connectivity - West Santa Ana Branch Corridor - <b>Active transportation; multi-jurisdiction</b>	x	x	x	x	x
17	Costa Mesa	Implementation Plan for Multi-Purpose Trails - <b>Active Transportation</b>	x	x	x	x	x
<b>Phase 2 (Available funds)</b>							
18	Fullerton	East Wilshire Avenue Bicycle Boulevard - <b>Active transportation; Livability; Demonstration project</b>	x	x	x	x	x
19	Beaumont	Climate Action Plan - <b>GHG reduction</b>	x	x	x	x	x
20	Palm Springs	Sustainability Master Plan Update - <b>Leverages larger effort; commitment to implement</b>	x	x	x	x	x
21	Big Bear Lake	Rathbun Corridor Sustainability Plan - <b>Multi-modal; Economic development; Open space</b>	x	x	x	x	x
22	Western Riverside Council of Governments	Land Use, Transportation, and Water Quality Planning Framework - <b>Integrated planning, Sustainability</b>	x	x	x	x	x
23	Anaheim	Bicycle Master Plan Update - <b>Active transportation</b>	x	x	x	x	x
24	Ontario	Ontario Airport Metro Center - <b>Multi-modal; Visualization; Integrated planning</b>	N/A				
25	Coachella Valley Association of Governments	CV Link Health Impact Assessment - <b>Active transportation; Public health; Multi-jurisdiction</b>	x	x	x	x	x

Rank	Applicant	Project	Working /				
			Last Contact	Scope	RFP	Selection	Contract
26	San Bernardino Associated Governments	San Bernardino Countywide Complete Streets Strategy - <b>Multi-modal; Livability; Multi-jurisdiction</b>	x	x	x	x	x
27	Chino Hills	Climate Action Plan and Implementation Strategy - <b>GHG reduction; Implementation; Sustainability</b>	x	x	x	x	x
28	Coachella	La Plaza East Urban Development Plan - <b>Mixed-use, TOD, Infill</b>	x	x	x	x	x
29	South Bay Bicycle Coalition/Hermosa, Manhattan, Redondo	Bicycle Mini-Corral Plan - <b>Active transportation; implementable; good value</b>	x	x	x	x	x
30	Hawthorne	Crenshaw Station Area Active Transportation Plan and Overlay Zone - <b>Multi-modal; Active transportation; GHG reduction</b>	x	x	x	x	x
31	Chino	Bicycle & Pedestrian Master Plan - <b>Multi-modal; Active transportation</b>	x	x	x	x	x
32	Stanton	Green Planning Academy - <b>Innovative; Sustainability; Education &amp; outreach</b>	x	x	x	x	x
33	Hermosa Beach	Carbon Neutral Plan - <b>GHG reduction; Sustainability</b>	x	x	x	x	x
34	Palm Springs	Urban Forestry Initiative - <b>Sustainability; Unique; Resource protection</b>	x	x	x	x	x
35	Orange County	"From Orange to Green" - County of Orange Zoning Code Update - <b>Sustainability; implementation</b>	x	x	x	x	x
36	Calimesa	Wildwood and Calimesa Creek Trail Master Plan Study - <b>Active transportation; Resource protection</b>	x	x	x	x	x
37	Western Riverside Council of Governments	Climate Action Plan Implementation - <b>GHG Reduction; Multi-jurisdiction; implementation</b>	x	x	x	x	x
38	Lynwood	Safe and Healthy Community Element - <b>Public health &amp; safety, General Plan update</b>	x	x	x	x	x

Rank	Applicant	Project	Working /				
			Last Contact	Scope	RFP	Selection	Contract
39	Palmdale	Avenue Q Feasibility Study - <b>Mixed-use; Integrated planning</b>	x	x	x	x	x
40	Long Beach	Willow Springs Wetland Habitat Creation Plan - <b>Open Space; Resource protection</b>	x	x	x	x	x
41	Indio	General Plan Sustainability and Mobility Elements - <b>Sustainability; Multi-modal, General Plan update</b>	x	x	x	x	x
42	Glendale	Space 134 - <b>Open space/Freeway cap; Multi-modal</b>	x	x	x	x	x
43	Rancho Palos Verdes/City of Los Angeles	Western Avenue Corridor Design Implementation Guidelines - <b>Urban Infill; Mixed-use; Multi-modal</b>	x	x	x	x	x
44	Moreno Valley	Nason Street Corridor Plan - <b>Multi-modal; Economic development</b>	x	x	x	x	x
<b>Phase 3 (Pending additional funds)</b>							
45	Park 101/City of Los Angeles	Park 101 District - <b>Open space/Freeway cap; Multi-modal</b>	x	x	x		
46	Los Angeles/San Fernando	Northeast San Fernando Valley Sustainability & Prosperity Strategy - <b>Multi-jurisdiction; Economic development; Sustainability</b>	x	x	x	x	
47	San Dimas	Downtown Specific Plan - <b>Mixed use; Infill</b>	x	x			
48	Los Angeles - Department of City Planning	CEQA Streamlining: Implementing the SCS Through New Incentives - <b>CEQA streamlining</b>	x	x	x		
49	Pico Rivera	Kruse Road Open Space Study - <b>Open space; Active transportation</b>	x	x	x	x	x
50	South Bay Cities Council of Governments	Neighborhood-Oriented Development Graphics - public outreach	x	x	x	x	
51	San Bernardino Associated Governments	Safe Routes to School Inventory - <b>Active transportation; Public health</b>	x	x	x	x	x
52	Burbank	Mixed-Use Development Standards - <b>Mixed use; Urban infill</b>	x	x	x	x	

Rank	Applicant	Project	Working / Last Contact	Scope	RFP	Selection	Contract
53	San Bernardino Associated Governments	Countywide Habitat Preservation/Conservation Framework - <b>Open Space; Active Transportation</b>	N/A				
54	Rancho Cucamonga	Healthy RC Sustainability Action Plan - <b>Public health; implementation</b>	x	x	x	x	
55	Pasadena	Form-Based Street Design Guidelines - <b>Complete Streets; Multi-modal; Livability</b>	x	x	x	x	
56	South Gate	Gateway District/Eco Rapid Transit Station Specific Plan - <b>Land Use Design; Mixed Use; Active Transportation</b>	x	x			
57	Lancaster	Complete Streets Master Plan - <b>Complete Streets Plan</b>	x	x	x	x	
58	Rancho Cucamonga	Feasibility Study for Relocation of Metrolink Station - <b>Transit Access</b>	x	x	x		
59	Santa Clarita	Soledad Canyon Road Corridor Plan - <b>Land Use Design; Mixed Use Plan</b>	N/A				
60	Seal Beach	Climate Action Plan - <b>Climate Action Plan</b>	x	x	x	x	
61	La Mirada	Industrial Area Specific Plan - <b>Land Use Design</b>	N/A				
62	Hemet	Downtown Hemet Specific Plan - <b>Land Use Design; Mixed Use Plan</b>	x	x	x	x	
63	Hollywood Central Park/City of Los Angeles	Hollywood Central Park EIR - <b>Open Space/Freeway Cap; Multi-modal</b>	x	x			
64	Desert Hot Springs	Bicycle/Pedestrian Beltway Planning Project - <b>Active Transportation</b>	N/A				
65	Cathedral City	General Plan Update - Sustainability - <b>General Plan Update; Sustainability Plan</b>	x	x	x	x	
66	Westminster	General Plan Update - Circulation Element - <b>General Plan Update; Complete Streets</b>	x	x	x	x	x
67	La Canada Flintridge	Climate Action Plan - <b>Climate Action Plan</b>	x	x	x		
68	Huntington Beach	Neighborhood Electric Vehicle Plan - <b>Electric Vehicle</b>	x	x	x		
69	Pasadena	Green House Gas (GHG) Emission Reduction Evaluation Protocol - <b>Climate Action Plan</b>	x	x	x	x	

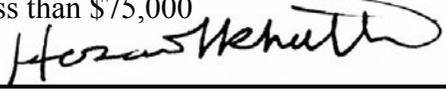
		<b>Working / Last</b>						
<b>Rank</b>	<b>Applicant</b>	<b>Project</b>	<b>Contact</b>	<b>Scope</b>	<b>RFP</b>	<b>Selection</b>	<b>Contract</b>	
70	San Bernardino Associated Governments	Countywide Bicycle Route Mobile Application - <b>Active Transportation</b>	x	x				
71	Dana Point	General Plan Update - <b>General Plan Update</b>	x	x	x			
72	Garden Grove	RE:IMAGINE Downtown - Pedals & Feet - <b>Active Transportation; Infill</b>	x	x	x			
73	Barstow	Housing Element and Specific Plan Update - <b>Housing; Land Use Design</b>	x	x	x			
74	Bell	General Plan Update - <b>General Plan Update</b>	x	x	x	x		
75	Fountain Valley	Euclid/I-405 Overlay Zone - <b>Mixed use; Urban infill</b>	x	x	x	x	x	

**DATE:** April 2, 2015

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

**SUBJECT:** Purchase Orders \$5,000 but less than \$200,000; Contracts \$25,000 but less than \$200,000; and Amendments \$5,000 but less than \$75,000

**EXECUTIVE DIRECTOR'S APPROVAL:** 

**RECOMMENDED ACTION:**  
For Information Only - No Action Required.

**STRATEGIC PLAN:**  
This item supports SCAG'S Strategic Plan Goal 3: Enhance the Agency's Long Term Financial Stability and Fiscal Management.

**BACKGROUND:**

**SCAG executed the following Purchase Orders (PO's) between \$5,000 and \$200,000**

<u>Vendor</u>	<u>PO Purpose</u>	<u>PO Amount</u>
CDW Government, Inc.	Surface Pro 3 Computers and Accessories	\$25,207
New Horizons CLC of So. Calif.	Computer Training Classes	\$7,650
Ready Restoration	Water Damage Restoration (Ventura Office)	\$6,000

**SCAG executed the following Contracts between \$25,000 and \$200,000**

<u>Consultant/Contract #</u>	<u>Contract's Purpose</u>	<u>Contract Amount</u>
1. 22 <sup>nd</sup> Century Technologies, Inc. (15-018-C1 & C2)	The consultant shall assist SCAG's Application Development Department and GIS group with maintaining and supporting all Enterprise GIS related databases, applications, and training.	\$150,000
2. Celer Systems, Inc. (15-025-C1)	The consultant shall assist SCAG's Application Development Department with maintaining and supporting applications primarily related to the Finance Division, and all other applications supported by the Application Development Department.	\$90,000
3. University of Southern California (14-023-C1)	The consultant shall provide research on the recent trends of demographic and housing subjects in the SCAG region that will focus on population and demographic changes and their impact these changes have on selected aspects of housing needs as well as the impact on future regional transit infrastructure.	\$49,126

# REPORT

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## SCAG executed the Amendment between \$5,000 and \$74,999

<u>Consultant/Contract #</u>	<u>Amendment's Purpose</u>	<u>Amendment Amount</u>
Calthorpe Associates / Calthorpe Analytics (15-005-C1)	The purpose of this amendment is to enable the consultant to rebuild SCAG's UrbanFootprint software program's basic data, called base canvas, for each county utilizing updated data from SCAG, thus enabling staff and member Cities to create the most accurate land use and transportation planning scenarios.	\$7,818

**ATTACHMENT:**  
Contract Summaries

## **CONSULTANT CONTRACT 15-018-C1 & C2**

<b>Recommended Consultant:</b>	22 <sup>nd</sup> Century Technologies, Inc.
<b>Background &amp; Scope of Work:</b>	SCAG has a need to obtain professional Enterprise GIS support because there is an urgent short term need for support on various significant projects in the IT Application Development Department and GIS Group. The consultant shall assist SCAG's Application Development Department and GIS group with maintaining and supporting all Enterprise GIS related databases, applications, and training. The consultant shall provide two staff, one person to perform Tasks 2 and another to perform Tasks 1, 3 and 4.
<b>Project's Benefits &amp; Key Deliverables:</b>	<p>The project's benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none"><li>• Enhancing the functionality of SCAG's GIS related software; and</li><li>• Enhancing and support SCAG's geodatabase.</li><li>• Train more users to use the geodatabase, and implement the versioning on the geodatabase.</li></ul>
<b>Strategic Plan:</b>	This item supports SCAG's Strategic Plan Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies; Objective d: Integrate Advanced Information and Communication Technologies.
<b>Contract Amount:</b>	<b>Total not-to-exceed</b> <span style="float: right;"><b>\$150,000</b></span> 22 <sup>nd</sup> Century Technologies, Inc.
<b>Contract Period:</b>	February 9, 2015 through June 30, 2015
<b>Project Number:</b>	045-0142B.12      \$75,000 045-0142E.12      \$75,000
	Funding sources: Consolidated Planning Grant (CPG)
<b>Basis for Selection:</b>	<p>In accordance with SCAG's Procurement Manual Section 6.3, dated 11/01/14, version 10, to foster greater economy and efficiency, SCAG's federal procurement guidance (49 CFR Part 18, Section 18.36 [b] [5]) authorizes SCAG to procure goods and services by entering into State and local intergovernmental agreements (Master Service Agreements – MSA's). The goods and services procured under an MSA were previously competitively procured by another governmental entity (SCAG is essentially "piggy backing" on the agreement). SCAG utilized an MSA with California Department of General Services (Agreement No. 5137002-001) that was competitively procured. This MSA is specifically designed for use by local agencies to leverage combined purchasing power for discounted volume pricing.</p> <p>22<sup>nd</sup> Century Technologies, Inc. provided an exceptional candidate with extensive technical skills.</p>

## CONSULTANT CONTRACT 15-025- C1

<b>Recommended Consultant:</b>	Celer Systems, Inc.
<b>Background &amp; Scope of Work:</b>	SCAG has a need to obtain professional Information Technology (IT) support because there is an urgent short term need for support on various significant projects in the Finance and Human Resources (HR) Departments. The consultant shall assist SCAG's IT Application Development Department with maintaining and supporting primarily the finance related applications, and all other applications supported by IT Application Development Department.
<b>Project's Benefits &amp; Key Deliverables:</b>	<p>The project's benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none"><li>• Enhancing the functionality of SCAG's Financial and HR related software; and</li><li>• Enhancing and support SCAG's .NET, SharePoint, and applications on other platforms.</li></ul>
<b>Strategic Plan:</b>	This item supports SCAG's Strategic Plan Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies; Objective d: Integrate Advanced Information and Communication Technologies.
<b>Contract Amount:</b>	<b>Total not-to-exceed</b> <span style="float: right;"><b>\$90,000</b></span> Celer Systems, Inc.
<b>Contract Period:</b>	February 24, 2015 through June 30, 2015
<b>Project Number:</b>	811-1163.13      \$90,000 Funding sources: Consolidated Planning Grant (CPG)
<b>Basis for Selection:</b>	<p>In accordance with SCAG's Procurement Manual Section 6.3, dated 11/01/14, version 10, to foster greater economy and efficiency, SCAG's federal procurement guidance (49 CFR Part 18, Section 18.36 [b] [5]) authorizes SCAG to procure goods and services by entering into State and local intergovernmental agreements (Master Service Agreements – MSA's). The goods and services procured under an MSA were previously competitively procured by another governmental entity (SCAG is essentially "piggy backing" on the agreement). SCAG utilized an MSA with California Department of General Services (Agreement No. 5137002-017) that was competitively procured. This MSA is specifically designed for use by local agencies to leverage combined purchasing power for discounted volume pricing.</p> <p>Celer Systems, Inc., provided an exceptional candidate with extensive technical skills.</p>

## **CONSULTANT CONTRACT 14-023-C1**

<b>Recommended Consultant:</b>	University of Southern California				
<b>Background &amp; Scope of Work:</b>	The consultant shall provide research on the recent trends of demographic and housing subjects in the SCAG region that will focus on population and demographic changes and the impact these changes have on selected aspects of housing needs as well as the impact on future regional transit infrastructure.				
<b>Project's Benefits &amp; Key Deliverables:</b>	The project's benefits and key deliverables include, but are not limited to: <ul style="list-style-type: none"><li>• Providing SCAG and member cities insight into the dynamics of the selected demographic and housing subjects in the SCAG region/counties.</li><li>• Five research briefs focusing on: (1) household income; (2) housing affordability; (3) housing construction; (4) housing type; and (5) housing behavior of Millennial Generation vs Baby Boomers.</li></ul>				
<b>Strategic Plan</b>	This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.				
<b>Contract Amount:</b>	<b>Total not-to-exceed</b> <span style="float: right;"><b>\$49,126</b></span> University of Southern California (prime consultant)				
	Note: University of Southern California (USC) originally proposed \$59,903, but staff negotiated the price down to \$49,126 without reducing the Scope of Work.				
<b>Contract Period:</b>	February 12, 2015 through June 30, 2015				
<b>Project Number:</b>	055.SCG0133.05      \$20,000 055.SCG0133.06      \$29,126 Funding sources: Consolidated Planning Grant – FTA and TDA				
<b>Request-for-Proposal (RFP):</b>	SCAG staff notified seven (7) firms of the release of informal RFP 14-023-C1. SCAG received the following two (2) proposals in response to the solicitation:  <table border="0" style="width: 100%;"><tr><td style="width: 80%;"><b>University of Southern California (no subconsultants)</b></td><td style="text-align: right;"><b>\$59,903</b></td></tr><tr><td>Cal Poly Pomona Foundation, Inc. (no subconsultants)</td><td style="text-align: right;">\$59,270</td></tr></table>	<b>University of Southern California (no subconsultants)</b>	<b>\$59,903</b>	Cal Poly Pomona Foundation, Inc. (no subconsultants)	\$59,270
<b>University of Southern California (no subconsultants)</b>	<b>\$59,903</b>				
Cal Poly Pomona Foundation, Inc. (no subconsultants)	\$59,270				
<b>Selection Process:</b>	The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC did not conduct interviews because the proposals contained sufficient information to base a contract award.  The PRC consisted of the following individuals:  Simon Choi, Chief of Research and Forecasting, SCAG Hsi-hwa Hu SCAG Transportation Modeler IV, SCAG Ying Zhou, Program Manager II, SCAG				

**Basis for Selection:**

The PRC recommended USC for the contract award because the consultant:

- Demonstrated the best understanding of the project, specifically, their tasks and methodologies were best described and they demonstrated extensive experience with similar research projects, such as the Immigrant Contributions to Housing Demand in the United States: a comparison of recent decades and projections to 2020 for the states and nation; *Special Report, Research Institute for Housing America*, Mortgage Bankers Association, Washington, D.C., 2013.
- Provided the best technical approach to meet SCAG's requirements. For example, the project team best discussed the demographic analysis methods and data sources.
- Provided the best overall value for the level of effort proposed and are well qualified to accomplish the tasks within the given timeframe.

Although the other firm proposed a lower price, the PRC did not recommend this firm for contract award because this firm:

- Did not clearly demonstrate a sufficient level of effort, primarily in the description of recent trends within the SCAG regional context, to satisfactorily complete the tasks in the Scope of Work; and
- Did not demonstrate the same level of creativity and innovation within the proposed technical approach. Their proposed approach, particularly on task 4, relied mainly on a simultaneous equation model versus the housing demography method; and therefore did not demonstrate as much value as the University of Southern California.

## CONSULTANT CONTRACT 15-005-C1 AMENDMENT 2

**Recommended Consultant:** Calthorpe Associates / Calthorpe Analytics

**Background & Scope of Work:** On November 12, 2014, SCAG awarded Contract No. 15-005-C1 to Calthorpe Analytics for the development of growth scenarios exploring regional options for land use, transportation and non-infrastructure components such as pricing and technology for the 2016 Regional Transportation Plan and Sustainable Communities Strategy (2016 RTP/SCS), and associated Program Environmental Impact Report (PEIR).

The purpose of this amendment is to enable Calthorpe Analytics to rebuild the UrbanFootprint software program’s basic data called base canvas, for each county utilizing updated data from SCAG.

The UrbanFootprint software allows for detailed mapping as well as forecasting and analysis of land use and transport futures and can work at regional, subregional, and local planning scales. This allows Cities to create various simulations or scenarios of possible land use. It includes the ability to analyze scenarios based on a full range of fiscal, environmental, and public health metrics.

**Project’s Benefits & Key Deliverables:** The project’s benefits and key deliverables include, but are not limited to:

- Updating the goals and policy articulated in the adopted 2012 RTP/SCS;
- Assembly of data on land use and housing, and transportation strategies provided by SCAG local jurisdictions into a “Local Input” scenario, and analysis of the scenario using various performance measures;
- Assembly of data into a “2012 RTP/SCS-Updated” scenario built on the land use and transportation strategies in the adopted 2012 RTP/SCS and analysis of the scenario using various performance measures;
- Assembly of data into two alternative draft “Policy-Based” scenarios and analysis and comparison of the scenarios using various performance measures;
- Preparation of outreach materials for communicating the content and implications of all scenarios for stakeholder workshops; and
- Refinement of the draft scenarios into a revised scenario for inclusion in the draft 2016 RTP/SCS and analysis of the scenario using various performance measures.

**Strategic Plan:** This item supports SCAG’s Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

<b>Amendment Amount:</b>	Amendment 2	\$7,818
	Amendment 1 (administrative - no change to contract’s value)	\$0
	Original contract value	<u>\$310,000</u>
	<b>Total contract value is not-to-exceed</b>	<b><u>\$317,818</u></b>

This amendment does not exceed \$75,000 or 30% of the contract’s original value. Therefore, in accordance with the SCAG Procurement Manual (dated) Section 8.3, it does not require the Regional Council’s approval.

**Contract Period:** November 12, 2014 through August 31, 2015

**Project Number:** 065.2663.02 \$317,800

Funding sources: Consolidated Planning Grant (CPG) – FTA and TDA

**Basis for the Amendment:** Calthorpe Analytics is currently conducting the development of the Local Input-Based scenario development. This scenario is comprised of the most current land use and transportation plans across the six-county SCAG region.

There are inconsistencies between the UrbanFootprint base for the SCAG region (previously delivered and approved by SCAG in November 2014) and the new files SCAG provided to the consultant, which has led to the need for the base data to be updated. SCAG needs the UrbanFootprint base canvas to be revised using the updated and current data thus enabling staff and Cities to create the most accurate scenarios.

# REPORT

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**DATE:** April 2, 2015

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer; (213) 236-1817; panas@scag.ca.gov

**SUBJECT:** CFO Monthly Report

**EXECUTIVE DIRECTOR'S APPROVAL:**

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**RECOMMENDED ACTION:**

For Information Only-No Action Required.

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan Goal, 3: Enhance the Agency's Long Term Financial Stability and Fiscal Management.

**AUDITS**

At its March 17, 2015 meeting, the Audit Committee directed staff to engage the services of Vasquez and Co., LLP, to conduct a review of SCAG's internal audit program. In future years, these reviews will be a part of the contract with SCAG's outside independent auditors and be conducted every three (3) years. At the same meeting, the Internal Auditor presented his report on SCAG's internal controls which he examined for any weaknesses similar to those at the City of Pasadena. No major weaknesses were found but he made seven (7) recommendations. The Committee directed Vasquez to include in their FY 2014-15 audit, procedures to verify that these recommendations have been implemented by staff.

**MEMBERSHIP DUES:**

As of March 17, 2015, two (2) member cities had not renewed their memberships in SCAG, (Rancho Santa Margarita and Maywood) while two (2) others had received waivers (San Bernardino and Jurupa Valley).

**BUDGET & GRANTS (B&G):**

B&G staff submitted the Draft FY 2015-16 Overall Work Program (OWP) to the Federal Transit Administration (FTA), Federal Highway Administration (FHWA), and Caltrans on March 2, 2015. In addition, the Draft OWP was posted on SCAG's website for public review and comments. All comments on the Draft OWP are due on or before April 1, 2015.

B&G staff is currently preparing an administrative amendment to the FY 2014-15 OWP. The major purpose of this administrative amendment is to add approximately \$180,140 of local funds to special grant projects.

In February, the FTA released the partial (66.67%) apportionments for Federal Fiscal Year 2015. Budget and Grants staff are in the process of allocating the partial inter-county allocations for the large urbanized areas (UZAs) within the SCAG region for the following FTA programs:

# REPORT

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FTA Section 5310 Enhanced Mobility of Seniors and Individuals with Disabilities  
FTA Section 5337 State of Good Repair  
FTA Section 5339 Bus & Bus Facilities

Due to the Highway and Transportation Funding Act of 2014 extended only through May 31, 2015, FTA can only release 66.67% of the appropriations at this time. Once the Funding Act has been extended to the end of the current Federal Fiscal Year (September 30, 2015), FTA will release the full apportionments and Budget & Grants staff will prepare and allocate the full inter-county allocations for the large urbanized areas for the three (3) formula grant programs above.

## **CONTRACTS:**

In February 2015, the Contracts Department issued seven (7) Requests for Proposal (RFP's); awarded four (4) contracts; issued one (1) contract amendment; and issued 43 Purchase Orders to support ongoing business and enterprise operations. Staff also administered 106 consultant contracts.

Contracts staff continued to negotiate better pricing and reduced costs for services. During the month of February 2015, over \$19,875 in budget savings was realized, bringing the FY 2014-15 total to approximately \$179,889.

## **ATTACHMENT:**

February 2015 CFO Monthly Status Report



SOUTHERN CALIFORNIA  
**ASSOCIATION of GOVERNMENTS**

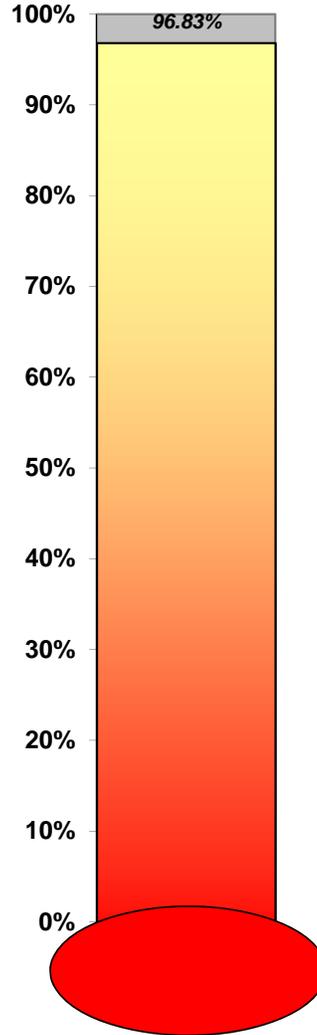
# **Office of the Chief Financial Officer**

Monthly Status Report

**FEBRUARY 2015**



**FY15 Membership Dues  
Collected**



**OVERVIEW**

As of March 16, 2015, 192 cities and counties have renewed their membership while two (2) cities have not yet renewed. Two (2) cities' dues have been waived and there is one (1) city in the SCAG region which is still being recruited for membership.

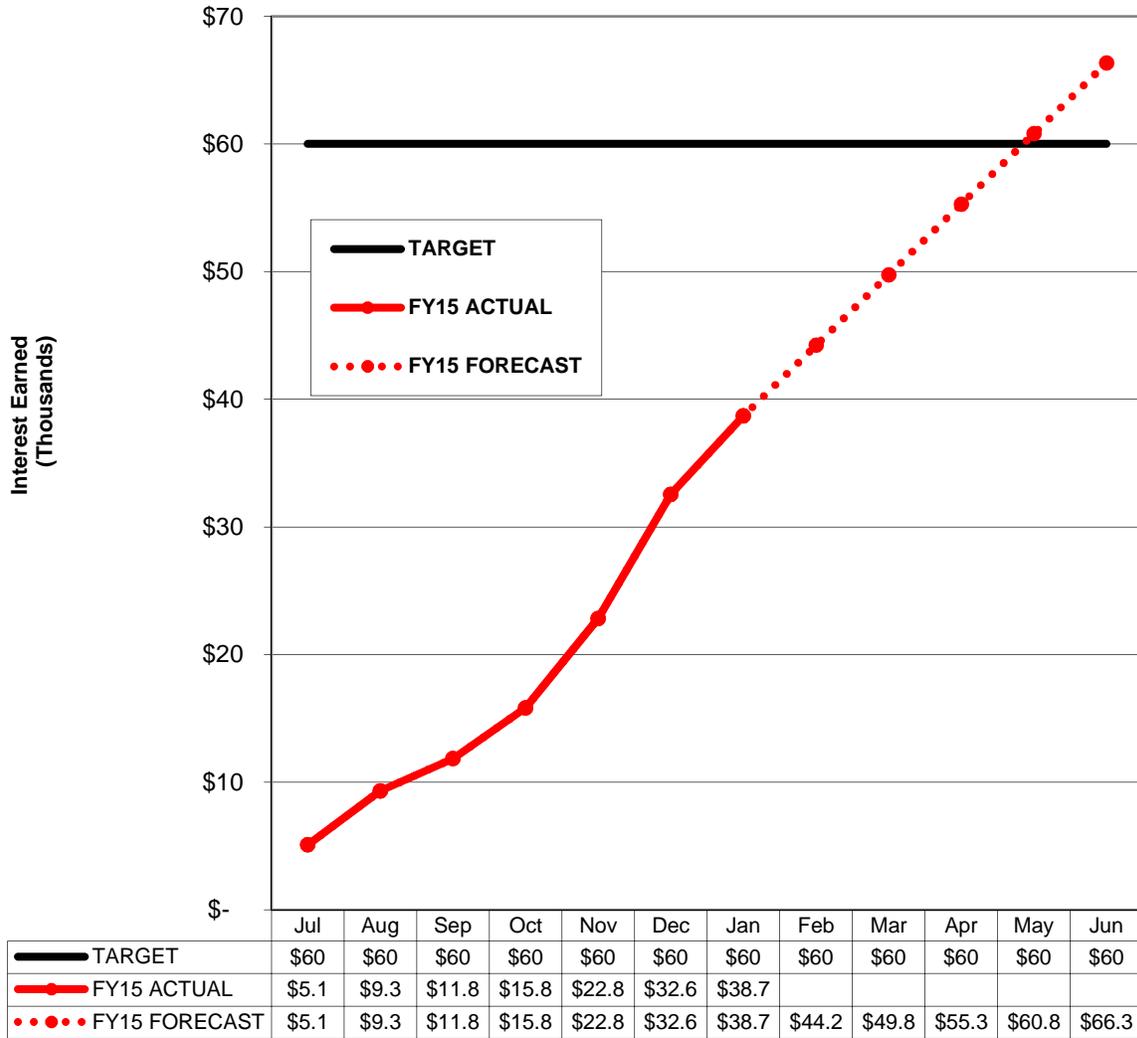
**SUMMARY**

FY15 Membership Dues	<u><u>\$1,912,751.73</u></u>
Total Collected	<u><u>\$1,852,078.30</u></u>
Percentage Collected	<u><u>96.83%</u></u>

**Office of the CFO**  
*Interest Earnings Variance*



**INTEREST EARNINGS VARIANCE**



**OVERVIEW**

Actual interest income is plotted against the target amount. The amount earned through January was \$38,699. The LA County Pool earned 0.68% in January.

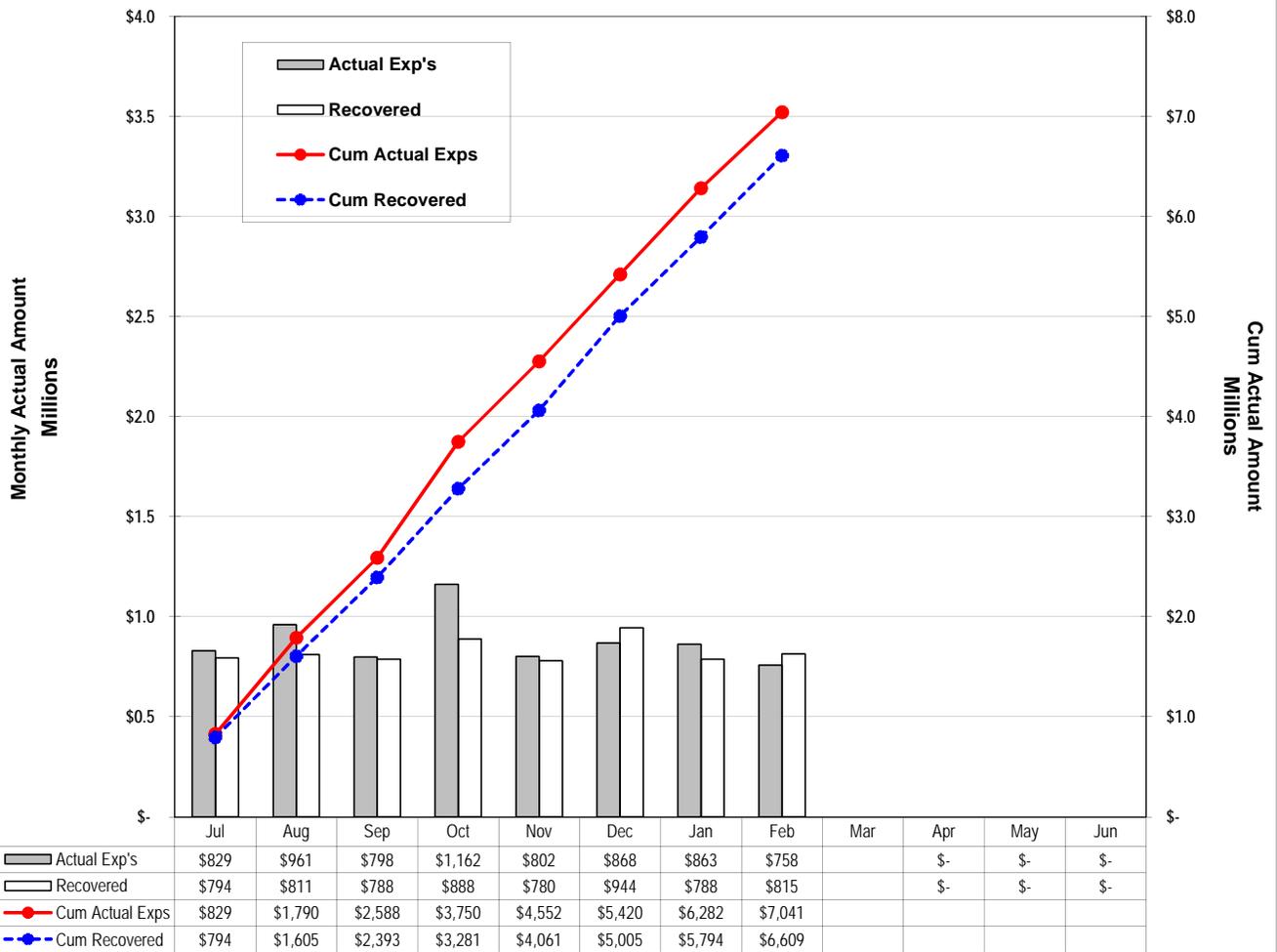
**SUMMARY**

The amount projected for FY15 is \$66,339, which is \$6,339 more than the revised target.



**SOUTHERN CALIFORNIA  
ASSOCIATION of GOVERNMENTS**

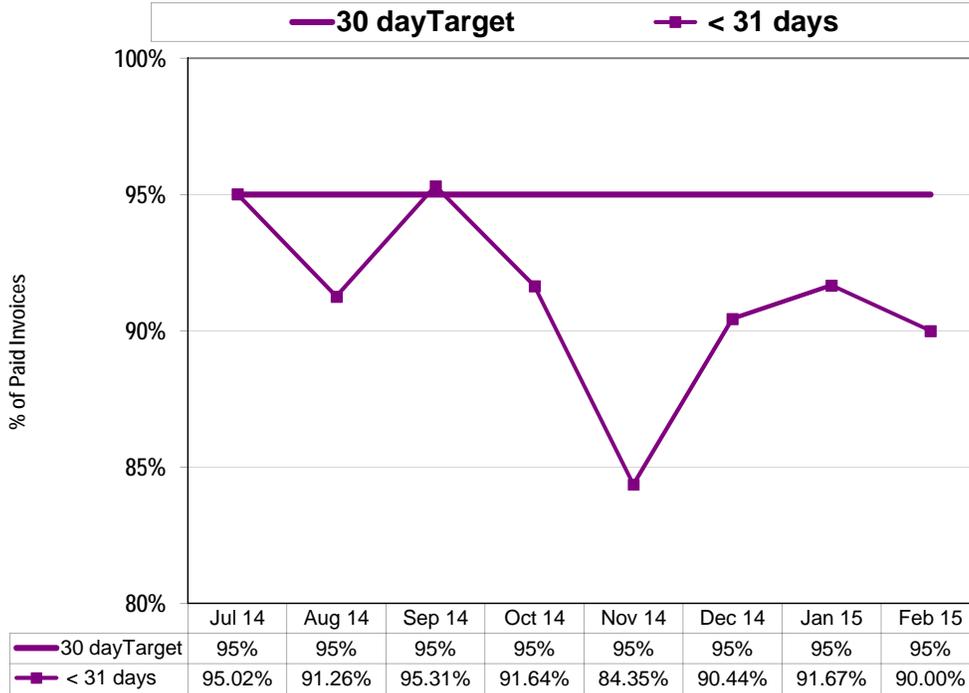
**FY15 INDIRECT COST & RECOVERY**



Office of the CFO  
Invoice Aging



INVOICE AGING



OVERVIEW

The percent of total invoices paid within 30 days. The target is to pay 95% of all invoices within 30 days. This goal was not met.

SUMMARY

90.00% of February 2015's payments were made within 30 days of invoice receipt.

At month-end, 58 invoices remained unpaid less than 30 days.

Actual

OVERVIEW

The percent of total invoices paid within 60 and 90 days. The target is to pay 98% of invoices within 60 days and 100% within 90 days.

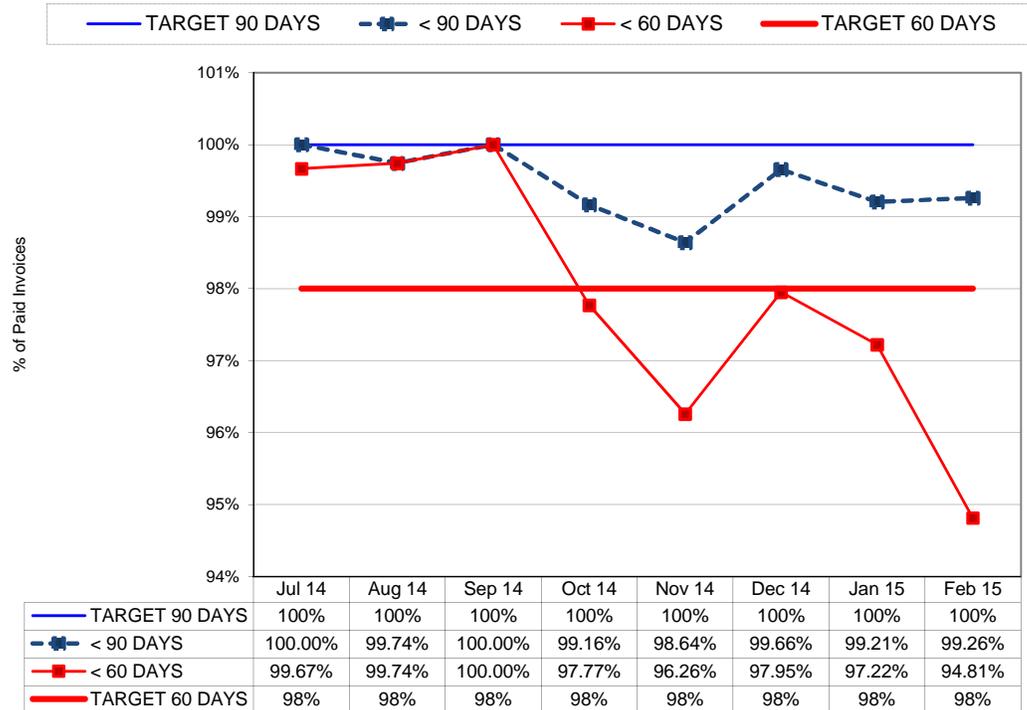
SUMMARY

These goals were not met during this period.

94.81% of February 2015's payments were within 60 days of invoice receipt and 99.26% within 90 days. Invoices unpaid 30-60 days totaled 31; 60-90 days: 7; >90 days: 9.



INVOICE AGING



	1/31/2015	2/28/2015	Incr (decr) to equity	COMMENTS
Cash at Bank of the West	\$ 2,545,193	\$ 225,392		
LA County Investment Pool	\$ 11,217,109	\$ 11,217,109		
Cash & Investments	\$ 13,762,302	\$ 11,442,501	\$ (2,319,802)	Dec CPG billing was paid to SCAG after Feb. 28
Accounts Receivable	\$ 5,659,909	\$ 8,045,625	\$ 2,385,715	Jan had two months of CPG billings outstanding, Feb had three (Dec, Jan, Feb)
Fixed Assets - Net Book Value	\$ 659,293	\$ 659,293	\$ -	No change
<b>Total Assets</b>	<b>\$ 20,081,504</b>	<b>\$ 20,147,418</b>	<b>\$ 65,914</b>	
Accounts Payable	\$ (117,956)	\$ (522,500)	\$ (404,544)	Increased consultant activity
Employee-related Liabilities	\$ (280,796)	\$ (286,774)	\$ (5,979)	Minimal change
Other Current Liabilities	\$ (801,576)	\$ (846,124)	\$ (44,548)	Feb had an IC over-recovery of \$57K
Deferred Revenue	\$ (559,853)	\$ (559,853)	\$ -	No change
<b>Total Liabilities and Deferred Revenue</b>	<b>\$ (1,760,181)</b>	<b>\$ (2,215,251)</b>	<b>\$ (455,070)</b>	
<b>Fund Balance</b>	<b>\$ 18,321,324</b>	<b>\$ 17,932,167</b>	<b>\$ (389,156)</b>	
			-	
<b>WORKING CAPITAL</b>				
	1/31/2015	2/28/2015	Incr (decr) to working capital	
Cash	\$ 13,762,302	\$ 11,442,501	\$ (2,319,802)	
Accounts Receivable	\$ 5,659,909	\$ 8,045,625	\$ 2,385,715	
Accounts Payable	\$ (117,956)	\$ (522,500)	\$ (404,544)	
Employee-related Liabilities	\$ (280,796)	\$ (286,774)	\$ (5,979)	
<b>Working Capital</b>	<b>\$ 19,023,460</b>	<b>\$ 18,678,851</b>	<b>\$ (344,609)</b>	

**Office of the CFO**  
Fiscal Year-To-Date Expenditure Report Through February 28, 2015



**COMPREHENSIVE BUDGET**

		<b>Adopted Budget</b>	<b>Amended Budget</b>	<b>Expenditures</b>	<b>Commitments</b>	<b>Budget Balance</b>	<b>% Budget Spent</b>
1	Staff & Allocated Fringe Benefits	369,802	369,802	60,910		308,892	16.5%
2	51001 Allocated Indirect Costs	276,634	276,634	45,414		231,220	16.4%
3	54300 SCAG Consultants	528,440	448,541	172,287	276,253	0	38.4%
4	54340 Legal costs	120,000	120,000	47,794	72,206	0	39.8%
5	55441 Payroll, bank fees	15,000	15,000	9,588		5,412	63.9%
6	55510 Office Supplies	15,000	15,000	13,728	1,272	0	91.5%
7	55600 SCAG Memberships	27,000	27,000	4,620		22,380	17.1%
8	55610 Professional Membership	12,719	12,539	9,262	1,678	1,599	73.9%
9	55730 Capital Outlay	542,106	542,106	-		542,106	0.0%
10	55860 Scholarships	14,000	14,000	12,000		2,000	85.7%
12	55910 RC/Committee Mtgs		20,000	10,127	0	9,873	50.6%
13	55912 RC Retreat		6,000	5,214	0	786	86.9%
14	55914 RC General Assembly	400,000	400,000	65,000	155,199	179,801	16.3%
16	55916 Economic Summit	50,000	77,899	77,899	0	0	100.0%
17	55917 Labor Summit	7,000	7,000	-	631	6,369	0.0%
18	55920 Other Meeting Expense	50,000	64,000	55,791	8,209	0	87.2%
19	55930 Miscellaneous other	11,000	13,000	9,475	3,524	0	72.9%
20	55940 Stipend - RC Meetings	211,440	211,440	93,460	0	117,980	44.2%
22	56100 Printing	6,000	6,500	1,871	2,354	2,275	28.8%
23	58100 Travel - outside SCAG region	35,000	34,415	12,659	0	21,756	36.8%
24	58101 Travel - local	26,000	26,000	10,893	0	15,107	41.9%
25	58110 Mileage - local	11,500	11,500	8,571	0	2,929	74.5%
26	58150 Staff Lodging Expense	9,000	6,500	-		6,500	0.0%
27	58200 Travel - reg fees	-	585	585		0	100.0%
28	58800 RC Sponsorships	69,720	81,900	81,900	0	0	100.0%
29	<b>Total General Fund</b>	<b>2,807,361</b>	<b>2,807,361</b>	<b>809,050</b>	<b>521,326</b>	<b>1,476,985</b>	<b>28.8%</b>
30				-			
31	Staff & Fringe Benefits	13,974,295	14,099,861	8,802,774		5,297,087	62.4%
32	51001 Allocated Indirect Costs	10,453,605	10,543,347	6,563,348		3,979,999	62.3%
33	54300 SCAG Consultants	14,738,572	22,504,141	3,420,065	13,828,949	5,255,127	15.2%
34	54350 Professional Services	506,000	661,000	286,238	341,269	33,494	43.3%
35	55210 Software Support	701,500	701,500	79,889	88,199	533,412	11.4%
36	55220 Hardware Support	100,000	100,000	10,131	0	89,869	10.1%
37	55280 Third Party Contribution	3,294,080	3,503,086	1,479,612	0	2,023,474	42.2%
39	55620 Resource Materials - subscrib	60,000	68,369	68,369	0	0	100.0%
40	55810 Public Notices	33,000	33,000	2,825	1,432	28,743	8.6%
41	55830 Conference - Registration	10,000	10,000	7,819	2,181	0	78.2%
42	55920 Other Meeting Expense	86,698	779,627	-		779,627	0.0%
43	55930 Miscellaneous - other	155,402	217,584	535	19,453	197,596	0.2%
44	56100 Printing	34,500	34,500	2,173	152	32,175	6.3%
45	58100 Travel	260,332	260,780	92,354	0	168,426	35.4%
46	<b>Total OWP</b>	<b>44,407,984</b>	<b>53,516,795</b>	<b>20,816,133</b>	<b>14,281,635</b>	<b>18,419,027</b>	<b>38.9%</b>
47				-			
48	<b>Comprehensive Budget</b>	<b>47,215,345</b>	<b>56,324,156</b>	<b>21,625,183</b>	<b>14,802,961</b>	<b>19,896,012</b>	<b>38.4%</b>

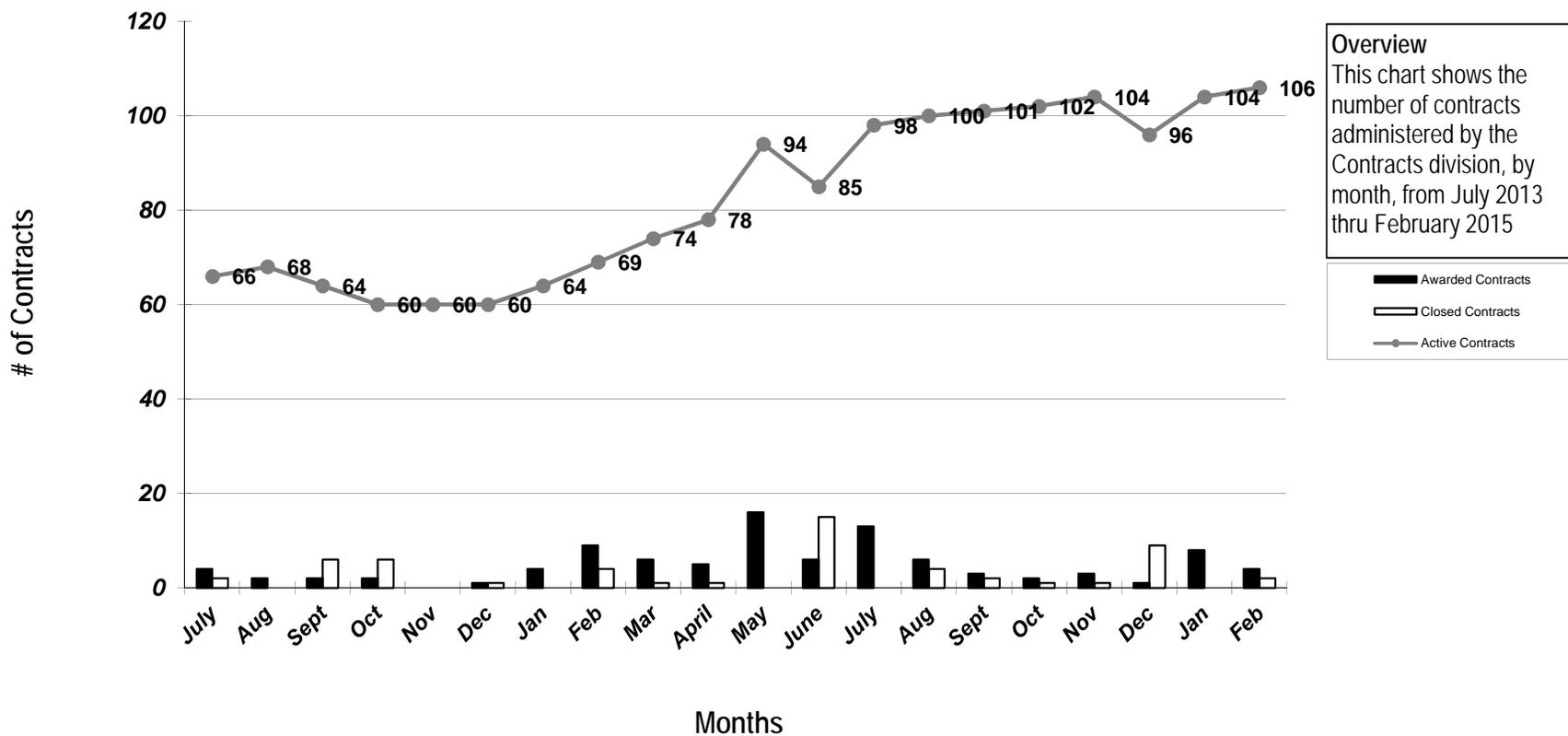
**Office of the CFO**  
*Fiscal Year-To-Date Expenditure Report Through February 28, 2015*



**INDIRECT COST EXPENDITURES**

			<b>Adopted Budget</b>	<b>Amended Budget</b>	<b>Expenditures</b>	<b>Commitments</b>	<b>Budget Balance</b>	<b>% Budget Spent</b>
1	50010	Regular Staff	3,563,315	3,447,499	2,186,793		1,260,706	63.4%
2	50013	Regular OT	-	1,482	1,482		0	100.0%
3	50014	Interns, Temps, Annuit	153,000	191,000	23,120		167,880	12.1%
4	51000	Allocated Fringe Benefits	2,593,861	2,510,635	1,668,703		841,932	66.5%
5	54300	SCAG Consultants	1,370,481	1,280,151	721,637	558,514	0	56.4%
6	54340	Legal	200,000	190,000	21,953	158,095	9,952	11.6%
7	55210	Software Support	527,279	532,752	387,506	109,568	35,679	72.7%
8	55220	Hardware Supp	113,780	102,421	57,222	31,476	13,723	55.9%
9	55230	Computer Maintenance	-	21,958	21,958		0	100.0%
10	55240	Repair & Maint Non-IT	20,000	28,493	28,493		0	100.0%
11	55400	Office Rent 818 Offices	1,582,877	1,582,877	1,009,693	505,404	67,780	63.8%
12	55410	Office Rent Satellite	171,490	171,490	112,379	59,111	0	65.5%
13	55420	Equip Leases	108,979	108,979	40,763	68,216	0	37.4%
14	55430	Equip Repairs & Maint	19,000	11,908	10,716	1,192	0	90.0%
15	55440	Insurance	170,722	170,722	59,545	1,216	109,961	34.9%
16	55441	Payroll / Bank Fees	10,000	10,000	9,901		99	99.0%
17	55460	Mater & Equip < \$5,000	35,000	166,893	166,893	0	0	100.0%
18	55510	Office Supplies	80,000	81,000	39,874	41,126	0	49.2%
19	55520	Graphic Supplies	1,500	1,500	325	736	440	21.6%
20	55530	Telephone	189,800	189,800	103,232	86,569	0	54.4%
21	55540	Postage	5,000	10,040	10,040	0	0	100.0%
22	55550	Delivery Services	5,000	4,960	3,983	977	0	80.3%
23	55600	SCAG Memberships	104,313	107,220	107,220	0	0	100.0%
24	55620	Res Mats/Subscrip	32,800	37,094	32,605	4,489	0	87.9%
25	55700	Deprec - Furn & Fixt	5,738	5,738	-		5,738	0.0%
26	55710	Deprec - Computer Equipment	69,136	69,136	-		69,136	0.0%
27	55720	Amortiz - Leasehold Improvements	7,786	7,786	-		7,786	0.0%
28	55800	Recruitment Notices	18,500	20,952	20,952	0	0	100.0%
29	55801	Recruitment - other	22,000	19,548	14,534	5,014	0	74.3%
30	55810	Public Notices	5,000	5,000	500	4,500	0	10.0%
31	55820	Training	80,000	80,000	43,371	36,629	0	54.2%
32	55830	Conference/workshops	23,850	22,850	1,988	0	20,862	8.7%
33	55920	Other Mtg Exp	2,200	2,200	35	480	1,685	1.6%
34	55930	Miscellaneous - other	8,500	27,442	27,442	0	0	100.0%
35	55950	Temp Help	38,500	124,881	65,337	59,544	0	52.3%
36	56100	Printing	17,600	12,600	884	11,716	0	7.0%
37	58100	Travel - Outside	109,050	102,711	19,055		83,656	18.6%
38	58101	Travel - Local	11,800	13,800	3,627		10,173	26.3%
39	58110	Mileage - Local	45,825	44,825	13,121		31,704	29.3%
42	58200	Travel - Reg Fees	-	3,339	3,339		0	100.0%
43	58450	Fleet Vehicle	800	800	320	480	0	40.0%
44		<b>Total Indirect Cost</b>	<b>11,524,482</b>	<b>11,524,482</b>	<b>7,040,537</b>	<b>1,745,052</b>	<b>2,738,893</b>	<b>61.1%</b>

## SCAG Contracts (Year to Date)



### Summary

The chart shows that the Contract Division is managing 106 active consultant contracts. Sixty of these are Cost Plus Fixed Fee contracts, 17 are fixed price contracts, and the remaining 29 are Time and Materials (T&M) contracts (includes Labor Hour and Retainer contracts). The Contracts Department anticipates issuing approximately 50 contracts during FY 2014-15. Note, due to the nature of SCAG's work, the majority of SCAG contracts have a one year term and end on June 30th each year.

<b>GROUPS</b>	<b>Authorized Positions</b>	<b>Filled Positions</b>	<b>Vacant Positions</b>
Executive	5	3	2
Legal	3	2	1
Strategy, Policy & Public Affairs	22	19	3
Administration	41	37	4
Planning & Programs	67	65	2
<b>Total</b>	<b>138</b>	<b>126</b>	<b>12</b>

**OTHER POSITIONS**

<b>GROUPS</b>	<b>Limited Term Positions</b>	<b>Temp Positions</b>	<b>Agency Temps</b>
Executive	0	0	0
Legal	0	0	0
Strategy, Policy & Public Affairs	2	1	0
Administration	1	6	2
Planning & Programs	0	12	0
<b>Total</b>	<b>3</b>	<b>19</b>	<b>2</b>